

Constraints on multiple specifiers

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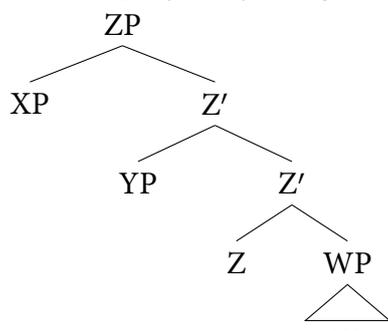
Abstract

This paper presents three examples of multiple fronting constructions in which creation of a second specifier is blocked for movement steps that also involve sub-extraction from NP. It is argued that these can be accounted for by assuming a violable constraint against multiple specifiers in the grammar. This constraint will be shown to interact with Left-Branch Extraction in Slavic, quantifier stranding in Korean and correlative fronting in Hindi to produce cumulative effects with multiple fronting. It will be demonstrated that these effects can be accounted for by extending the framework of Serial Harmonic Grammar to syntax. Furthermore, a strictly derivational approach to cumulative effects will be shown to account for the observed asymmetries between subjects and objects.

1 Introduction

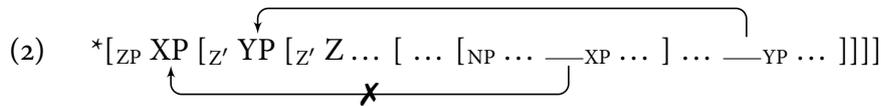
With the assumption of bare phrase structure, Chomsky (1995:245) proposed the abandonment of the so-called *Single Specifier Hypothesis* of X-bar Theory (Larson 1988:38of.; Speas 1990:79), stating that ‘in principle, there might be a series of specifiers’ (also see Koizumi 1995; Ura 1996; Mulders 1997; Zwart 1997; Nichols 1999; Doron & Heycock 1999; Chomsky 2000; Richards 2001; Rezac 2004; Lahne 2009). This meant that syntactic structures such as (1) became possible, where a head Z can project two specifiers containing XP and YP, respectively.

(1) *Multiple specifiers of a single head:*



Generally, it is assumed that multiple specifiers are a freely available option of UG, regularly created by successive-cyclic movement via Spec- ν P or object shift, for example (Chomsky 1995, 2000). Furthermore, multiple specifiers of a single head have also been invoked as an explanation of constructions involving multiple displacement of elements within a single clause, e.g. with multiple wh-fronting in Bulgarian (Richards 2001) or multiple scrambling in Japanese (Grewendorf

& Sabel 1999). In this paper, I discuss three distinct constructions from Serbo-Croatian, Korean and Hindi, in which an ordinarily available process of multiple fronting is blocked in conjunction with a particular kind of movement. Abstractly, all of these cases involve the configuration in (2), where a second specifier of a head Z created by movement of XP is no longer licensed if XP is sub-extracted from an NP.



Evidence for this restriction comes from Left-Branch Extraction in Serbo-Croatian, quantifier stranding in Korean and scrambling in Hindi. It will be argued that the restriction in (2) should be understood as a cumulative effect. Namely, that both multiple fronting and sub-extraction from NP are possible in isolation, but that their co-occurrence is blocked. This is then an example of a *cumulative effect*, as defined in (3).

(3) *Cumulativity:*

A language allows process A and process B, but not the combination of A and B.

Theories of syntax that assume that operations ‘come for free’ are ill-equipped to analyze cumulative effects. On the other hand, theories with violable constraints ascribe a tolerable, but discernible cost to grammatical operations. In such a theory, such violations can ‘gang up’ to trigger cumulative blocking effects. It will be argued the existence of the restriction in (2) speaks in favour of a (violable) constraint in grammar that militates against the creation of multiple specifiers of a single head. An acceptable violation of this constraint is no longer tolerated in conjunction with a distinct violation of another movement-related constraint, resulting in the restriction in (2).

In what follows, it is argued that what has proven to be a successful framework for analyzing cumulativity in phonology, namely (Serial) Harmonic Grammar (Pater 2009, 2016; Potts et al. 2010; Ryan 2017; also see Legendre et al. 1990 on syntax), can also derive cumulative restrictions on multiple specifier creation in syntax. We will also see the importance of a serialist approach, where the evaluation of weighted, violable constraints must take place locally at the level of the movement step. This architectural assumption is crucial in deriving subject/object asymmetries, which are due to the restriction in (2) holding for final, but not intermediate movement steps.

2 Multiple wh-fronting and Left-Branch Extraction in Slavic

The first phenomenon under consideration is the interaction of multiple wh-fronting and Left-Branch Extraction. While a subset of Slavic languages allow for both multiple wh-fronting and LBE, their combination is deemed ungrammatical. This is surprising under the view that each of these processes is a freely available option to the grammar. On the other hand, ascribing a tolerable, but nevertheless tangible, cost to each of these processes will allow us to treat the ban on multiple LBE as a cumulative effect.

2.1 Multiple Left-Branch Extraction

It is a well-known fact that there are languages which require what Kuno & Robinson (1972:478) call ‘double dislocation’ of wh-phrases to clause-initial position (Wachowicz 1974; Toman 1981; Comorovski 1986; Rudin 1988; Bošković 2002). Many Slavic languages exhibit this property, for example Serbo-Croatian (4a), Russian (4b) and Polish (4c).

(4) *Multiple wh-fronting:*

- a. Ko₁ koga₂ ___₁ vidi ___₂ ?
 who whom sees
 ‘Who sees whom?’ (Serbo-Croatian; Rudin 1988:449)
- b. Kto₁ kogo₂ ___₁ priglasil ___₂ na užin ?
 who who invited to dinner
 ‘Who invited whom to dinner?’ (Russian; Grebenyova 2012:21)
- c. Kto₁ kogo₂ ___₁ budzi ___₂ ?
 who whom wakes.up
 ‘Who wakes up whom?’ (Polish; Wachowicz 1974:158)

Furthermore, these languages also are among the sub-group of Slavic languages that allow so-called *Left-Branch Extraction* (Ross 1967; Corver 1990; Bošković 2005b) in which a prenominal modifier or possessor is sub-extracted from the noun phrase (5).

(5) *Left-Branch Extraction*

- a. Čijeg₁ si vidio [NP ___₁ oca] ?
 whose are seen father
 ‘Whose father did you see?’ (Serbo-Croatian; Bošković 2005a:11)
- b. Čju₁ on kupil [NP ___₁ mašinu] ?
 whose he bought car
 ‘Whose car did he buy?’ (Russian; Grebenyova 2012:83)
- c. Czyjego₁ widziałeś [NP ___₁ brata] ?
 whose saw.2SG brother
 ‘Whose brother did you see?’ (Polish; Borsley 1983:340)

Rather surprisingly, the combination of these two independently-available processes is not grammatical (see Zabrocki 1984; Fernández-Salgueiro 2006; Grebenyova 2012). In the Serbo-Croatian example in (6), multiple wh-movement of left-branches is not possible, regardless of the order of extraction.

(6) *No Multiple Left-Branch Extraction* (Serbo-Croatian; Fernández-Salgueiro 2006:134):

- a. *Čiji₁ kakva₂ [NP ___₁ otac] kupuje [NP ___₂ kola] ?
 whose what.kind father buy car
- b. *Kakva₂ čiji₁ [NP ___₁ otac] kupuje [NP ___₂ kola] ?
 what.kind whose father buy car
 ‘Whose father buys what kind of car?’

Furthermore, other Slavic languages with multiple wh-fronting and Left-Branch Extraction, such

as Russian (7) and Polish (8), also do not allow the combination of these two processes:

(7) *No Multiple Left-Branch Extraction* (Russian; Grebenyova 2012:82):

- a. *Kakoj₁ čju₂ [NP —₁ aktër] kupil [NP —₂ mašinu] ?
 which whose actor bought car
- b. *Čju₂ kakoj₁ [NP —₁ aktër] kupil [NP —₂ mašinu] ?
 whose which actor bought car
 ‘Which actor bought whose car?’

(8) *No Multiple Left-Branch Extraction* (Polish; Zabrocki 1984:438):

- a. *Który₁ która₂ [NP —₁ chłopiec] zaprosił [NP —₁ dziewczynę] ?
 which.NOM which.ACC boy.NOM invited girl.ACC
- b. *Która₂ który₁ [NP —₁ chłopiec] zaprosił [NP —₁ dziewczynę] ?
 which.ACC which.NOM boy.NOM invited girl.ACC
 ‘Which boy invited which girl?’

This is particularly surprising for virtually all theories of wh-movement or Left-Branch Extraction. In most approaches, there is no relevant grammatical constraint against each of these individual processes and, as such, we would expect that they can combine freely.

There has not been much discussion of the ban on multiple LBE in previous literature. The only two analyses I am aware of are Fernández-Salgueiro (2006) and Grebenyova (2012). Both of these approaches have in common that they treat LBE as a fundamentally different type of movement from ordinary wh-movement. Grebenyova (2012) argues that the relevant difference is that LBE is head movement. In (9), the left-branch *kakuju* adjoins to the head of TopP.

- (9) [_{TopP} [_{Top} Top+kakuju₁] ... Ivan kupil [NP t₁ knigu]] ?
 which Ivan bought book
 ‘What kind of book did Ivan buy?’ (Grebenyova 2012:87)

Subsequently, Grebenyova (2012:88) claims that ‘multiple LBE is impossible due to [the] Head Movement Constraint’. As shown in (10), after the first left-branch has adjoined to Top₁, this now intervenes for movement to Top₂.

- (10) * [_{TopP₂} [_{Top₂} Top₂+kakuju₂] [_{TopP₁} [_{Top₁} Top₁+kako₁] ... [NP t₁ student] [NP t₂ knigu]]]]
 which whose student book

Appealing to the HMC in this way is problematic, however, since an ordinary step of LBE-head movement such as (9) would have to be able to skip a number of intervening heads. Furthermore, this is also an unusual conception of head movement, it is neither standard head-to-head movement (Travis 1984; Chomsky 1986), nor is it the kind of Head-to-Spec movement advocated by Vicente (2009) and Hein (2018), for example.

Fernández-Salgueiro (2006), on the other hand, assumes that LBE differs from ordinary wh-movement in the language in that it is driven by a [wh]-feature on C. He broadly follows the approach to multiple wh-fronting in Bošković (1997b, 2002) and Stjepanović (1999) where wh-

phrases undergo focus movement to their licensing position below CP (11).

$$(11) \quad [_{CP} C [_{FocP} wh_{[Foc]} wh_{[Foc]} [\dots t_{wh} \dots t_{wh}]]]$$

This movement is Greed-based as it is driven by a focus feature on the wh-item itself, and not by some feature at the landing site. This is where he assumes (following [Bošković 1997a:10f.](#)) that LBE differs, arguing that movement of a left-branch is not driven by a focus feature on the moving item, but rather by an attracting [wh]-feature on the C head. The explanation for the incompatibility of multiple fronting and LBE is then rather simple: there is only a single wh-feature on the C head, so after the first left-branch has moved (12a), there is no trigger for the second movement step (12b).

$$(12) \quad \begin{array}{l} \text{a. } [_{CP} wh_1 [_{C'} C_{[wh]} \dots [_{NP} t_1 NP] \dots [_{NP} wh_2 NP]]] \\ \text{b. } [_{CP} wh_2 [_{C'} wh_1 [_{C'} C_{[wh]} \dots [_{NP} t_1 NP] \dots [_{NP} t_2 NP]]]] \end{array}$$

There are numerous challenges for this approach, however. For example, there are instances of focus LBE, meaning that it cannot always be driven by a [wh]-feature on C (see e.g. [Bošković 2005b](#)). Another problem with this theory is that we would expect left-branches to always precede non-left-branches in multiple extraction cases (13). This is because only the latter move to Spec-CP, the highest position.

$$(13) \quad [_{CP} wh_1 C_{[wh]} [_{FocP} wh_2 [\dots [_{NP} t_1 NP] \dots t_2]]]$$

The data presented in the following section will show that, if anything, the reverse is true. What both of these approaches predict, due to their common assumption that LBE is simply a different type of movement, is that LBE should not interact with ordinary wh-movement. Since they are fundamentally different movement types (presumably driven by different features), there should be no interaction with regard to Minimality, for example. The following section will show that this prediction is not correct.

2.2 Superiority

The descriptive constraint in (17) makes a prediction with regard to what we might call ‘mixed’ multiple wh-fronting. This describes multiple wh-fronting in which only one of the movement steps involves LBE and has not been explicitly discussed in previous literature. Focusing on cases with an instance of subject LBE and ordinary object extraction such as (14), we see an interesting result. The only permissible order of multiple fronting is where the object precedes the subject left-branch (14a). The reverse order is ungrammatical (14b).¹

¹These examples involve extraction across a quantifier to determine that the subject has indeed moved. Such cases of ‘deep LBE’ ([Bošković 2005b](#)) are normally ruled out, but quantifiers constitute an exception to this restriction (see e.g. [Bošković 2012:205](#)).

(14) *Superiority with LBE from subject* (Serbo-Croatian):

- a. (?)Šta₂ kakve₁ [QP dve [NP —₁ devojke]] često čitaju —₂ ?
 what what.kind two girls often read
- b. *Kakve₁ šta₂ [QP dve [NP —₁ devojke]] često čitaju —₂ ?
 what.kind what two girls often read
 ‘What do what kind of two girls often read?’

The same effect can also be seen with mixed multiple wh-fronting in Polish (15). Here, the remnant of subject LBE remains in postverbal position, thereby showing that the left-branch has moved. A similar pattern emerges: the object must precede the subject left-branch in order to be grammatical (15a).

(15) *Superiority with LBE from subject* (Polish):

- a. (?)Kogo₂ czyja₁ spotkała [NP —₁ matka] —₂ ?
 who.ACC whose.NOM met mother.NOM
- b. *Czyja₁ kogo₂ spotkała [NP —₁ matka] —₂ ?
 whose.NOM who.ACC met mother.NOM
 ‘Whose mother met who?’

It is important to note that this is not due to subject LBE being somehow independently degraded (see [Jurka 2010:187ff.](#) for experimental evidence; also see [Polinsky et al. 2013](#)). Furthermore, we will see that mixed multiple fronting with object LBE behaves differently, for principled reasons, but for now we defer this discussion to Section 5. It seems that we are therefore dealing with what is essentially a derivational Superiority effect (i.e. Attract Closest).² If a subject left-branch participates in mixed multiple fronting, then it must move first. This is particularly surprising since the languages in question are known not to exhibit Superiority effects with clausemate extraction (16).

- (16) a. Ko₁ koga₂ —₁ vidi —₂ ?
 who whom sees
- b. Koga₂ ko₁ —₁ vidi —₂ ?
 whom who sees
 ‘Who sees whom?’

(Serbo-Croatian; [Rudin 1988:473](#))

However, the emergence of what looks like a Superiority restriction follows naturally in light of

²At this point, it is useful to distinguish between two types of Superiority: *derivational superiority* and *surface superiority*. [Chomsky’s](#) (1973:246) original definition is clearly the former type since it refers to the configuration in which wh-movement can take place and whether there is a closer possible goal. Surface Superiority refers to the restriction that multiple movement be order-preserving, as in languages such as Bulgarian ([Scott 2012:66](#) refers to this as *candidate superiority*). I will generally refer to Superiority in the derivational sense, however, the term ‘Superiority effects’ will occasionally be attributed to languages of the Bulgarian-type.

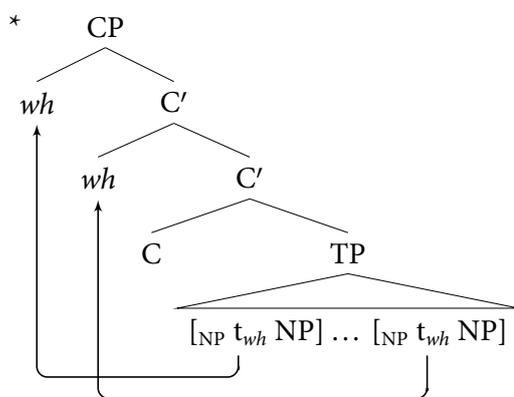
the constraint in (17). In Superiority-violating derivations such as (15b) with crossing dependencies, LBE is necessarily the second step of multiple wh-fronting, in contravention of (17).³

(17) *Left-Branch Extraction Generalization (descriptive):*

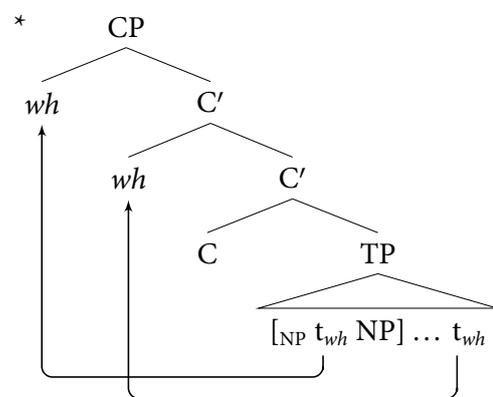
Left-Branch Extraction cannot be the second step of multiple wh-fronting.

Abstractly, we see therefore see that the constraint in (17) can account for both the ban on multiple LBE and the emergence of Superiority with subject LBE. What they both have in common is that the second step of multiple fronting involves LBE. It will be shown that this can be viewed as a cumulative effect in which a second specifier of C cannot be created by a movement step involving LBE (18).

(18) *Multiple Left-Branch Extraction:*



Subject Left-Branch Extraction:



A direct consequence of this analysis, however, is that we have to assume that multiple wh-fronting in languages such as Serbo-Croatian involves movement to multiple specifiers of C. This is at odds with the traditional view of multiple fronting in Slavic going back to [Rudin \(1988\)](#). In the following section, I critically review some of the evidence for this position and show that there are no particularly strong arguments against the multiple specifier analysis of multiple wh-fronting in these languages.

At this point, it is important to note that, at least descriptively, there is a difference between subjects and objects regarding the generalization in (17). As the data in (19) from [Stjepanović \(2010:502\)](#) show, LBE from an object can be the second step of multiple fronting.

³ [Bošković \(2018\)](#) discusses examples such as (i), where it seems that we have multiple LBE, with the extracted elements coordinated.

- (i) Crvena₁ i bijeli₂ su se meni [NP t₁ suknja] i [NP t₂ kaput] dopali
 red and white are self me.DAT dress and coat pleased
 ‘The red dress and the white coat pleased me.’

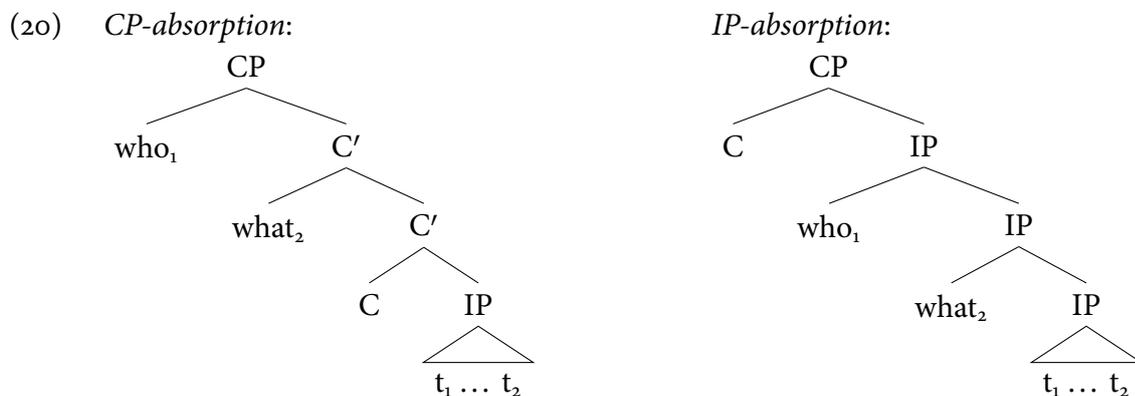
There is still the question of how acceptable such examples are (speakers I have consulted do not accept them), but if they are possible it could be that coordination acts as a Last Resort repair to illicit multiple LBE. For example, it could involve conflation of the two specifiers ([Hsu to appear](#)). That said, the syntax of coordinated wh-phrases is controversial and may also involve a bi-clausal structure (see e.g. [Gračanin-Yüksek 2007](#); [Tomaszewicz 2011](#); [Citko & Gračanin-Yüksek 2012](#)). For this reason, I leave the clarification of such examples to future research.

- (19) a. Kakvu₂ je ko₁ t₁ [NP t₂ ocjenu] dobio ?
 what is who grade gotten
 ‘Who got what grade?’
 b. Koji₂ je ko₁ t₁ [NP t₂ film] gledao ?
 which is who film seen
 ‘Who saw which film?’

In Section 5.1, it will be argued that this is still compatible with a strictly derivational view of the generalization in (18), when we take into account intermediate movement through Spec-νP.

2.3 On the syntax of multiple wh-fronting

Arguably, the standard view of multiple wh-fronting is that there exist two distinct structures. Some languages employ movement to multiple specifiers of Spec-CP (what Richards 2001 calls *CP-absorption* languages) whereas others adjoin some, or all, wh-phrases to a lower position (21).



This distinction goes back to the seminal paper by Rudin (1988), who proposed a [\pm MFS] parameter (=Multiply Filled SpecCP), with [+MFS] languages such as Bulgarian and Romanian moving wh-phrases to multiple specifiers of C and [-MFS] languages such as Serbo-Croatian and Polish, which lack this option. The evidence for this classification was based on the diagnostics in (21).

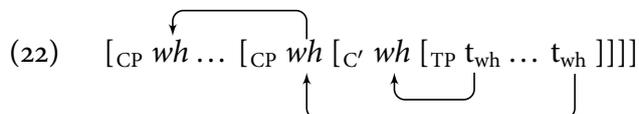
(21) *Typology of multiple wh-fronting languages (Rudin 1988:478):*

	[+MFS]	[-MFS]
	Bulgarian, Romanian	Serbo-Croatian, Polish, Russian, etc.
multiple embedded extraction	✓	✗
wh-island violations	✓	✗
intervention by clitics, parentheticals, adverbs	✗	✓
Superiority effects	✓	✗

However, this view is incompatible with the account of the interaction of LBE with multiple fronting that was proposed in the previous section. The following section will show that there are a number of problems with the Rudin’s classification, which undermine this distinction. Instead, a unified approach to multiple wh-fronting is possible.

2.3.1 Multiple extraction and wh-islands

The first two diagnostics in (21) pertain to whether a language can license multiple specifiers of an embedded CP. Both the possibility to extract multiple phrases from an embedded clause and the permissibility of island violations necessitates the creation of a second specifier of CP.



The structure in (22) should be unavailable for [–MFS] languages, ruling out both multiple wh-fronting from embedded clauses and predicting sensitivity to wh-islands. The problem is that multiple wh-extraction from embedded clauses has been argued by Bošković (1997a, 2002, 2008b) to be possible for many speakers in Serbo-Croatian (this is actually explicitly acknowledged by Rudin 1988:453,fn.8).

- (23) Ko₁ si koga₂ turdio [CP da je t₁ istukao t₂] ?
 who 2SG whom claimed that is beaten
 ‘Who did you claim beat whom?’ (Bošković 1997a:5)

Furthermore, the acceptability of multiple embedded extraction has been reported for other [–MFS] languages such as Russian (Scott 2012) and Slovenian (Golden 1997) (again, this is subject to some speaker variability; see e.g. Mišmaš 2015). The other diagnostic relevant to the structure in (22) is that only [–MFS] should be sensitive to wh-islands. The problem here again is that some putative [–MFS] languages have been reported to permit wh-island violations (e.g. Polish; Cichocki 1983:64 and Czech; Rudin 1988:460). In support of this diagnostic, Rudin (1988:457) claims that Bulgarian, as a [+MFS] language, allows extraction from wh-islands, however this is actually rather restricted and only true for ‘D-linked’ wh-phrases and relative pronouns. In Bulgarian, movement of ‘simplex’ wh-phrases and adjuncts is robustly sensitive to wh-islands (Rudin 1988:460; Bošković 2003:33), which is not predicted by the structure in (22). Even more problematically, Bošković (2003:34) points out that languages without multiple wh-fronting, such as Swedish, have exactly the same profile with regard to extraction from wh-islands as Bulgarian (Engdahl 1986; also see Bošković 2008b:262f. for other languages). This suggests that the relevant factor must be something other than the syntax of multiple wh-fronting. For this reason, we can disregard this as evidence for the [±MFS] distinction.

2.3.2 Clitics and parentheticals

The second set of diagnostics refer to the possibility for material such as clitics and parentheticals to intervene between fronted wh-phrases. In [+MFS] languages such as Bulgarian, clitics or parentheticals cannot intervene between wh-phrases in left-periphery (24a), whereas they can in [–MFS] languages such as Serbo-Croatian (24b).

- (24) a. *Koj₁ ti₂ e kakvo₃ t₁ kazal t₂ t₃ ?
 who 2SG.CL has what told

- ‘Who told you what?’ (Bulgarian; Rudin 1988:461)
- b. Ko₁ mu₂ je šta₃ t₁ dao t₂ t₃ ?
 who him 3SG.CL what given
 ‘Who gave him what?’ (Serbo-Croatian; Rudin 1988:462)

This is assumed to follow from respective structures for multiple fronting (25).

- (25) a. [+MFS]: [_{CP} [*wh wh wh*] [clitics/parentheticals [_{TP} ...]]]
 b. [-MFS]: [_{CP} (*wh*) [clitics/parentheticals [_{TP} *wh wh* [_{TP} ...]]]]

However, there are also confounds in this domain. First, as Rudin (1988:462ff.) acknowledges, the two types of multiple-fronting languages also show independent differences in the type of clitics they have. In Bulgarian and Romanian, clitics always attach to the verb (also see Avgustinova 1994; Billings 2002; Franks 2008; Harizanov 2014). Thus, the illicit placement of the Bulgarian clitics in (24a) is due to their proclitic nature, and does not motivate the structure in (25a) (also see Billings & Rudin 1996:54,fn.2). Furthermore, the obligatory placement of clitics between the *wh*-phrases in (24b) does not require the structure in (25b). Bošković (2001) argues at length that the placement of clitics in Serbo-Croatian is prosodically-driven, namely that clitics must occur in the second-position of an intonational phrase. Support for this comes from the fact that, if an optional pause is added between the fronted *wh*-phrases, then the clitic *je* can occur second in either of the intonational phrases (26a,b). Omission of the pause leads to placement after the first constituent (26c). In particular, the position of the clitic in (26b) is not predicted by the structure in (25b), but follows under the prosodic account.

- (26) a. (Koji je čovjek₁)_i (koju knjigu₂ t₁ kupio t₂)_i ?
 which 3SG.CL man which book bought
 b. (Koji čovjek₁)_i (koju je knjigu₂ t₁ kupio t₂)_i ?
 which man which 3SG.CL book bought
 c. (Koji čovjek₁ je koju knjigu₂ t₁ kupio t₂)_i ?
 which man 3SG.CL which book bought
 ‘Which man bought which book?’ (Bošković 2001:7of.)

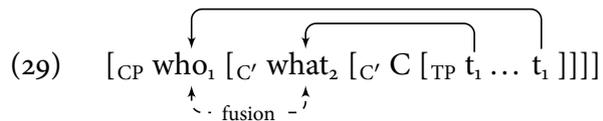
Thus, the example in (24b) is compatible with an analysis where *wh*-phrases move to Spec-CP and the clitic(s) are placed in second position at PF (27).

- (27) [_{CP} ko [_{C'} koga [_{C'} C [_{TP} ... je ...]]]] ⇒ PF: (((ko)_ω (je)_ω (koga)_ω C)_φ ...)_i

A similar approach can be taken for intervention by parentheticals or adverbs between the *wh*-phrases, which is possible in Serbo-Croatian (28a), but not in Bulgarian (28b) (Rudin 1988:468f.).

- (28) a. Ko₁, po tebi, šta₂ t₁ pije t₂ ?
 who by you what drinks
 ‘Who, according to you, drinks what?’ (Serbo-Croatian)
 b. ?*Koj₁, spored tebe, kakvo₂ t₁ e kazal t₂ ?
 who according to you what has said
 ‘Who, in your opinion, said what?’ (Bulgarian)

It is worth noting that this does not follow from the putative structural difference in (25). In order to account for the impenetrability of fronted wh-phrases in [+MFS] languages, an additional process of fusion or ‘clustering’ is necessary. While such a process is often assumed to apply before the wh-phrases reach their final landing site (e.g. Grewendorf 2001; Sabel 2001; Bailyn 2017), there is no good reason why it could not apply after multiple fronting, as in (29) (cf. *m(orphological)-Merger*; Matushansky 2006 and *oblique movement*; Takano 2002:257). We could instead assume that, in [+MFS] languages, the fronted wh-phrases form a cluster via fusion in Spec-CP, which therefore prevents adjunction of a parenthetical at C':⁴



A [-MFS] language such as Serbo-Croatian would then simply lack this additional fusion process and therefore freely permit adjunction to C'. Some additional evidence in support of this view comes from the following contrast, which shows that Bulgarian does allow an intervening parenthetical if one of the fronted wh-phrases is complex (30b).

- (30) a. **Koj*₁, spored tebe, *kakvo*₂ t₁ e kupil t₂ ?
 who according to you what is bought
 ‘Who, according to you, bought which book?’
- b. ?*Koj*₁, spored tebe, *koja kniga*₂ t₁ e kupil t₂ ?
 who according to you which book is bought
 ‘Who, according to you, bought which book?’ (Bošković 2002:361)

We can interpret this as the result of a constraint on the fusion operation in (29), namely that it can only apply to simplex elements. This is exactly the same restriction that Nunes (2004) proposes for the fusion of a wh-phrase with a C head that results in wh-copying in German. This is only possible with simplex (31a), but not complex wh-phrases (31b).

- (31) a. *Wen* glaubst du, *wen* sie liebt?
 who believe you who she loves
 ‘Who do you think she loves?’
- b. **Welchen Mann* glaubst du, *welchen Mann* sie liebt?
 which man believe you which man she loves
 ‘Which man do you think she loves?’ (Fanselow & Mahajan 2000:220)

When fusion of the wh-phrases is blocked for this reason, as in (30b), parentheticals are free to attach between the wh-phrases, as in [-MFS] languages.

⁴ Independent evidence for adjunction of parentheticals to C' can be seen in the following examples from English where a parenthetical intervenes between a fronted phrase in Spec-CP and an auxiliary in C:

- (i) a. Who, in your opinion, did Mary suspect?
 b. Never, in my opinion, was so much owed by so many. (Wilder 1997:331)

2.3.3 Superiority effects and tucking-in

The final of Rudin's (1988) diagnostics pertains to surface Superiority effects, where the base-generated order of moved phrases must be maintained. In [+MFS] languages such as Bulgarian, a fronted subject must precede a fronted object in the left periphery (32), while the order of fronted wh-phrases in [-MFS] languages such as Serbo-Croatian is free, as we saw in (16).

- (32) a. Koj₁ kogo₂ t₁ vižda t₂ ?
 who whom sees
 b. *Kogo₂ koj₁ t₁ vižda t₂ ?
 whom who sees
 'Who sees whom?' (Bulgarian; Rudin 1988:472f.)

This difference in surface Superiority is also assumed to follow from the [+MFS] distinction, where only [+NFS] languages with movement to multiple specifiers of C show Superiority effects. In particular, Richards (2001:98f.) proposed the concept of *tucking-in*, whereby movement to the second specifier of a head creates a specifier below the first due to an interaction between *Attract Closest* and *Shortest Move* (33) (also cf. Mulders 1997).

- (33) *Tucking-in* (Richards 1999, 2001):
 a. [_{CP} who₁ [_{C'} C [_{TP} t₁ ... what]]]
 b. [_{CP} who₁ [_{C'} what₂ [_{C'} C [_{TP} t₁ ... t₂]]]]

In a [+MFS] language, multiple wh-movement targets multiple specifiers and thus results in a tucking-in derivation. In a [-MFS] language like Serbo-Croatian, on the other hand, wh-movement is scrambling-like adjunction movement to a position below C. Bošković (1999:167) assumes that wh-phrases undergo 'Greed-based' focus movement triggered by a focus feature on the wh-items themselves. Since these movement steps are independent instances of adjunction to TP, they can apply in either order (34), leading to a lack of surface Superiority.

- (34) a. [_{CP} C [_{TP} wh_{2[FOC]} [_{TP} wh_{1[FOC]} [_{TP} ... t₁ ... t₂]]]]]
 b. [_{CP} C [_{TP} wh_{1[FOC]} [_{TP} wh_{2[FOC]} [_{TP} ... t₁ ... t₂]]]]]

A major challenge for this view, however, is that we do in fact find Superiority effects in a variety of places in [-MFS] languages. Bošković (1997a, 2002) and Stjepanović (2003) show that there are several contexts in which Superiority effects can be found in Serbo-Croatian, i.e. with long-distance multiple extraction, embedded questions, multiple sluicing, correlatives, *li*-constructions and in clauses with topicalized constituents (also see Scott 2012 for similar claims for Russian). One example of Superiority in Serbo-Croatian involves the overt interrogative C head *li*, which is realized as the second-position clitic. As (35) shows, multiple fronting with *li* must be order-preserving.

- (35) a. Ko₁ li koga₂ t₁ voli t₂ ?
 who C whom loves
 b. *Koga₂ li ko₁ t₁ voli t₂ ?
 whom C who loves
 ‘Who on earth loves whom?’ (Bošković 2002:354)

The approach suggested by Bošković (2002:354) for contexts showing exceptional Superiority in [–MFS] languages is to treat this as an idiosyncratic property of C. In the pursuit of a unified approach to multiple wh-fronting, we can adopt a similar approach here. Assuming that all multiple wh-fronting targets specifiers of C, whether or not the second movement ‘tucks-in’ is not an inherent property of multiple specifier configurations, but rather an idiosyncratic property of the C head involved. We can refer to this as the *parameterized* view of tucking-in (36).⁵

- (36) *Parameterized tucking-in hypothesis:*

Whether a second specifier of a head H created by movement ‘tucks-in’ is an idiosyncratic property of H.

In [+MFS] languages with robust Superiority effects (such as Bulgarian), all interrogative C heads will be specified as triggering tucking-in. In [–MFS] languages, it would be a construction-specific property of only certain C heads, as we saw with *li* in Serbo-Croatian (35). In this way, we can maintain the distinction between Bulgarian and Serbo-Croatian multiple fronting without the need to posit two distinct structural analyses.

Another reason to reject the standard [±MFS] distinction is that it is incompatible with the descriptive generalization in (17), which states that LBE cannot be the second step of multiple wh-fronting. In the standard analysis of LBE languages in (34), the ‘second step of multiple wh-fronting’ does not have any clear status, as the movement steps are entirely independent of each other. Thus, implementing (17) as a strictly local constraint that only makes reference to properties of a single movement step becomes incredibly difficult. In the unified theory advocated here, where all multiple fronting involves transparent movement to multiple specifiers, the second step of multiple wh-fronting always has the inherent property of creating an additional specifier of interrogative C. This allows us to capture (36) as a cumulative effect, as shown in section 4.

2.4 Interim summary

The preceding discussion has shown that the evidence for a distinction between two types of multiple wh-fronting languages is actually rather weak. Many of the diagnostics turn out not to be relevant to the proposed syntactic difference, and the others have alternative, perhaps even preferable, explanations. The consequence of this is that a unified account of multiple wh-fronting

⁵An alternative approach that rejects tucking-in altogether would be to assume that relevant languages differ with regard to an OT constraint requiring order preserving movement, such as *Shape Conservation* (Williams 2003), *Par-Move* (Müller 2001) or *ORDERPRESERVATION* (Engels & Vikner 2014). In particular, see Hornstein (2009:33f.), Heck & Himmelreich (2017:55ff.) and Bailyn (2017:31ff.) for some problems with tucking-in analyses. It should also be mentioned that Superiority effects in Bulgarian only surface between the first wh-phrase and all others, with the order of subsequent wh-phrases being free (see Bošković 1997a; Richards 2001). All accounts require some additional mechanism to handle this, e.g. the *Principle of Minimal Compliance* in Richards (1998, 2001).

in Slavic where multiple wh-fronting uniformly involves movement to multiple specifiers of C is possible. Against this background, it is possible to identify the second step of multiple wh-fronting as creating a multiple specifier structure. It will be argued that, while this is ordinarily possible, it cannot co-occur with a violation of the Left-Branch Condition. As we will see, this can be analyzed as a cumulative effect where a given movement step may either involve LBE or creation of a multiple specifier, but not both simultaneously. In order to arrive at a formal analysis that captures this basic insight, we first require an explicit theory of cumulative constraint interaction. This is what the following section will provide.

3 A theory of cumulativity

In order to provide an analysis of restrictions on multiple specifier creation in terms of cumulative effects, we first require an explicit theory of cumulativity. While the notion of cumulative constraint interaction has been proposed at various points in the literature (see e.g. Chomsky 1973:239,fn.19; Ross 1987; Haegeman et al. 2014), previously proposed theories primarily focus on deriving gradience in acceptability judgements rather than blocking a given derivational step, as required for the puzzles at hand (e.g. Keller 2000, 2006; Jäger & Rosenbach 2006). I will propose that what has been a successful framework for analyzing cumulative blocking effects in phonology, namely *Serial Harmonic Grammar* (e.g. Kimper 2011, 2016; Pater 2012; Kaplan 2016; Ryan 2017), can equally account for cumulativity in syntax. This framework consists of two major components: weighted constraints from Harmonic Grammar and serial optimization from Harmonic Serialism. I will present each in turn in the following sections.

3.1 Harmonic Grammar

At the core of optimality-theoretic approaches is the assumption of violable constraints (Prince & Smolensky 1993/2004). The fundamental idea is that, among a set of competing candidates, the optimal output is determined based on the evaluation of their relative harmony based on a set of ranked, violable constraints. In an alternative predecessor to OT, *Harmonic Grammar* (HG) (Legendre et al. 1990, 2006; Pater 2009, 2014, 2016; Potts et al. 2010; Jesney 2016), constraints are not ranked, but instead bear weights. These weights are deducted from a candidates harmony score, and the candidate with the highest harmony score is selected as optimal. To see this, consider the following basic syntactic example involving wh-movement. Driving wh-movement, we have the markedness constraint WH-CRIT(ERION) (37a) that requires wh-phrases to be in Spec-CP. The counteracting constraint STAY (37b) penalizes movement.

- (37) a. WH-CRITERION (Rizzi 1996):
Wh-phrases must be in the specifier of a licensing head $C_{[wh]}$.
- b. STAY (Ackema & Neeleman 1998:448):
Do not move (i.e. assign a violation for each trace/copy).

In a language with wh-movement, WH-CRIT must outrank STAY. In HG terms, the weight of

WH-CRIT must be higher than that of STAY. As (38) shows, the penalty incurred for applying wh-movement (38a) is worse (-3) than the cost of a violation of STAY (38b) (-2). For this reason, wh-movement is licensed.

(38)

$[\text{CP } C_{[\text{wh}]} \dots [\text{VP } V \text{ wh}_1]]$	WH-CRIT $w = 3$	STAY $w = 2$	\mathcal{H}
a. $[\text{CP } C_{[\text{wh}]} \dots [\text{VP } V \text{ wh}_1]]$	-1		-3
☞ b. $[\text{CP } \text{wh}_1 [C' C_{[\text{wh}]} \dots [\text{VP } V \text{ t}_1]]]$		-1	-2

One core way in which HG differs from Standard OT is that there is no *strict domination*. This means that violations of less important constraints can ‘gang up’ to outweigh a violation of some more important constraint. It is this property of HG that gives a natural explanation of cumulative effects. Recall the definition of *cumulativity* in (3), repeated below.

(39) *Cumulativity:*

A language allows process A and process B, but not the combination of A and B.

As we saw above for wh-movement, a legitimate grammatical process comes at the expense of a violation of some less important constraint relative to the constraint triggering the operation. For process A, let us assume the trigger constraint C bears a higher weight ($w=3$) than the constraint *A violated by application of A ($w=2$). This means that non-application of process A comes at a higher cost than the candidate carrying out A (40).

(40) *Process A possible:*

Input	C $w = 3$	*A $w = 2$	\mathcal{H}
a. Process A doesn't apply	-1		-3
☞ b. Process A applies		-1	-2

If there is another process B that is also driven by C, we can assign the same weight to the corresponding constraint *B (41).

(41) *Process B possible:*

Input	C $w = 3$	*B $w = 2$	\mathcal{H}
a. Process B doesn't apply	-1		-3
☞ b. Process B applies		-1	-2

Given the current set of weights, both violations of *A and *B are tolerable individually, but if the processes A and B co-occur, then the combined sum of their violations (−4) results in a worse harmony score than violating C (the trigger for the operations A and B) (42), i.e. −3.

(42) *Co-occurrence of A and B prohibited (gang effect):*

Input	C $w = 3$	*A $w = 2$	*B $w = 2$	\mathcal{H}
☞ a. Process A/B doesn't apply	−1			−3
b. Process A/B applies		−1	−1	−4

In this way, we can derive basic signature of cumulativity in (39). This is also known as a *gang effect* since two less important constraints that would not be able to affect the outcome individually cooperate or ‘gang up’ to block the application of a process driven by a higher-ranked constraint.⁶

3.2 Harmonic Serialism

The second important property of Serial Harmonic Grammar is cyclic optimization. This refers to the assumption that only a single change can be made to the input at a time. The winner of a given optimization is then subject to iterative subsequent optimizations until no further improvements are possible. This framework is known as *Harmonic Serialism* (McCarthy 2000, 2008a,b, 2010, 2016; Torres-Tamarit 2012; Elfner 2016). The result is a derivational theory where each continuation of a derivation is determined by ranked or weighted constraints. Arguably, this is what a standard derivational approach to Minimalist syntax, such as that in Chomsky (1995, 2000, 2001) requires. Applications of HS to syntax have been shown by Heck & Müller (2003, 2013, 2016) to have several welcome consequences. In particular, cyclic optimization gives an explicit theory for determining possible continuations of a given derivational step. This is required for implementing what Müller & Sternefeld (2001:8) call *translocal* economy, i.e. competition between possible (intermediate) output representations (i.e. reference-set economy; Chomsky 1995:227). This is arguably also required by many widely-adopted constraints that (often implicitly) require the comparison of possible derivational alternatives, such as the *Multitasking* principle in (43).

(43) *Multitasking* (van Urk & Richards 2015:132):

If two Agree operations A and B are possible, and the features checked by A are a superset of those checked by B, the grammar prefers A.

⁶ It is important to mention that the actual values we choose as the weight for a given constraint is arbitrary. What instead matters is that particular *weighting conditions* hold between constraints. In order to have a gang effect as in (42), the weights constraints *A and *B must be individually lower than C (ia,b), but not their sum (ic).

- (i) a. $w(C) > w(*A)$
- b. $w(C) > w(*B)$
- c. $w(w(*A)+w(*B)) > w(C)$

For present purposes, any set of weights compatible with (i) can be chosen.

In order to check which of the Agree operations should be preferred, one has to directly compare to hypothetical continuations of a given derivational step and compare with regard to an evaluation metric (number of features checked). When made fully explicit, this is indistinguishable from Harmonic Serialism.

4 Multiple wh-fronting and LBE

4.1 Ruling out Multiple Left-Branch Extraction

Recall that, although languages such as a Serbo-Croatian have both multiple wh-fronting and LBE individually, the combination of these processes is not possible (44).

(44) *No Multiple Left-Branch Extraction* (Serbo-Croatian):

- a. *Kakva₂ čiji₁ [_{NP} —₁ otac] kupuje [_{NP} —₂ kola] ?
 what.kind whose father buy car
- b. *Čiji₁ kakva₂ [_{NP} —₁ otac] kupuje [_{NP} —₂ kola] ?
 whose what.kind father buy car
 ‘Whose father buys what kind of car?’

With the theory outlined in the preceding section, we can now treat this as a gang effect. The guiding idea is that, in the relevant languages, both multiple wh-fronting and LBE come at the expense of a tolerable violation of a constraint. While the violations of these constraints may be incurred individually, simultaneous violation becomes too costly. In a language allowing LBE, the constraint responsible for driving wh-movement WH-CRITERION that we saw in (37a) bears a higher weight than the constraint against extraction of left-branch modifiers. We will simply call this constraint LEFTBRANCHCONDITION (LBC).

(45) LEFTBRANCHCONDITION (cf. Ross 1986:127f.):

Assign a violation for a syntactic object γ in position α in [α ... [_{NP} [_{N'} N ...]] ...], where γ corresponds to β in the input [... [_{NP} β [_{N'} N ...]] ...].

Note that this constraint implements Ross’ *Left-Branch Condition* as a faithfulness constraint against movement of items from the specifier position of NP. Other approaches that try to derive LBE from the lack of a DP phase (e.g. Bošković 2005b) assume that LBE comes for free in NP languages and therefore make it difficult to implement a cumulative analysis.⁷ If we give WH-CRIT and LBC weights of 3 and 2 respectively, then this grammar will permit LBE (46b).

(46) *Left-Branch Extraction possible:*

⁷The caveat here is that NPs do have phasal status, namely that only the highest edge is accessible (Bošković 2016a). It is actually the lack of intermediate movement to DP that leads to the legitimacy of LBE. Nevertheless, there is still no constraint in the grammar that penalizes extraction from outer edges in such an approach.

$[\text{CP } C_{[\text{wh}]} \dots [\text{VP } V [\text{NP } wh_1 \text{ NP}]]]$	WH-CRIT $w = 3$	LBC $w = 2$	\mathcal{H}
a. $[\text{CP } C_{[\text{wh}]} \dots [\text{VP } V [\text{NP } wh_1 \text{ NP}]]]$	-1		-3
☞ b. $[\text{CP } wh_1 [\text{C}' C_{[\text{wh}]} \dots [\text{VP } V [\text{NP } t_1 \text{ NP}]]]]$		-1	-2

Assuming, as motivated in Section 2.3, that multiple wh-fronting targets multiple specifiers of C, multiple wh-fronting will violate the following markedness constraint against multiple specifiers of the same head:

- (47) *MULT(IPLE)-SPEC(IFIER):
 Multiple specifiers of a single head are prohibited.
 $*[\text{XP } \alpha [\text{X}' \beta [\text{X}' \text{X} [\text{YP } \dots]]]]$

Again, as long as this constraint bears a lower weight than WH-CRIT, then multiple wh-fronting will be licensed (48b). In a derivational approach, the first step of multiple fronting removes one of the violations of WH-CRIT by moving a wh-phrase in Spec-CP.

- (48) *Multiple wh-fronting possible (Step 1):*

$[\text{CP } C_{[\text{wh}]} \dots [\text{VP } wh_1 \dots wh_2]]$	WH-CRIT $w = 3$	*MULTSPEC $w = 2$	\mathcal{H}
a. $[\text{CP } C_{[\text{wh}]} \dots [\text{VP } wh_1 \dots wh_2]]$	-2		-6
☞ b. $[\text{CP } wh_1 [\text{C}' C_{[\text{wh}]} \dots [\text{VP } t_1 \dots wh_2]]]]$	-1		-3

Given the assumption of cyclic optimization, the optimal output in (48b) is evaluated once more. Here, the second step of multiple wh-fronting creates a second specifier of C at the cost of a tolerable violation of *MULT-SPEC (49b).

- (49) *Multiple wh-fronting possible (Step 2):*

$[\text{CP } wh_1 [\text{C}' C_{[\text{wh}]} \dots [\text{VP } t_1 \dots wh_2]]]]$	WH-CRIT $w = 3$	*MULTSPEC $w = 2$	\mathcal{H}
a. $[\text{CP } wh_1 [\text{C}' C_{[\text{wh}]} \dots [\text{VP } t_1 \dots wh_2]]]]$	-1		-3
☞ b. $[\text{CP } wh_2 [\text{C}' wh_1 [\text{C}' C_{[\text{wh}]} \dots [\text{VP } t_1 \dots t_2]]]]]]$		-1	-2

Given the condition that the summed weights of LBC and *MULT-SPEC are higher than WH-CRIT, we can restate the descriptive generalization in (39) in more technical terms, as in (50).⁸

⁸ A reviewer notes that this view that two ordinarily possible movement violations become impossible when combined is related to another well-known effect where a violation of a constraint by one item is neutralized by the satisfaction of that constraint by another. An example of this are so-called 'additional wh-effects' originally noted

(50) *LBE Generalization (revised):*

A single step of *wh*-movement cannot violate both *MULT-SPEC and LBC simultaneously.

To see how this rules out multiple LBE, consider the following cyclic derivation. At the point of the derivation in which interrogative *C* is merged, we have the option to move one of the *wh*-phrases. For present purposes, it does not matter which one we move first. In (51b), movement of the left-branch *wh*₁ trades a violation of WH-CRIT against a less costly violation of LBC and is therefore the optimal output.

(51) *Multiple Left-Branch Extraction (Step 1):*

[_{CP} C _[wh] ... [_{VP} [_{NP} <i>wh</i> ₁ NP] ... [_{NP} <i>wh</i> ₂ NP]]]	WH-CRIT <i>w</i> = 3	LBC <i>w</i> = 2	*MULTSPEC <i>w</i> = 2	\mathcal{H}
a. [_{CP} C _[wh] ... [_{VP} [_{NP} <i>wh</i> ₁ NP] ... [_{NP} <i>wh</i> ₂ NP]]]	-2			-6
☞ b. [_{CP} <i>wh</i> ₁ [_{C'} C _[wh] ... [_{VP} [_{NP} <i>t</i> ₁ NP] ... [_{NP} <i>wh</i> ₂ NP]]]	-1	-1		-5

This output forms the input to the subsequent optimization in (52). Here, we now try to move the second left-branch *wh*₂ to fully satisfy WH-CRIT. However, this movement step in (52b) now violates both LBC, due to it being movement of a left-branch, and *MULT-SPEC as the second step of multiple fronting. It therefore triggers a gang effect, since the summed violations of the two constraints lead to a worse harmony score than simply not moving at all, which is the optimal output (52a).⁹

(52) *Multiple Left-Branch Extraction (Step 2):*

by Hankamer (1974) where the presence of an in-situ object seems to license *wh*-island-violating object extraction in (ib) (also see Kayne 1983; Brody 1995 and Watanabe 1992; Saito 1994 on *wh*-adjuncts).

- (i) a. *What₁ did who give *t*₁ to Peter?
- b. What₁ did who give *t*₁ to whom?

One view of this is that a grammatical dependency can license an ungrammatical one, what Richards (1998, 2001) attributes to his *Principle of Minimal Compliance*. In constraint-based terms, this could be conceived of as an additional constraint providing a positive, rather negative value for a violation, i.e. a reward (Kemper 2016). The idea is that, while a *wh*-island violation receives a penalty of -1 for a given constraint, the in-situ *wh*-phrase that respects the constraint receives a reward of +1 that cancels out the violation (see Murphy 2017 for discussion).

⁹ In what follows, I am only considering competing LBE candidates. Pied-piping of the entire *wh*-phrase would not violate LBC and should therefore harmonically-bound all extraction candidates. Note that this competition between LBE and pied-piping is a more general problem. For example, Citko (2006:226,fn.3) notes that it ‘raises nontrivial questions regarding optionality and economy in the grammar’ (also see Bošković 2004:699,fn.22 and Heck 2009:95ff. for relevant discussion). One way to solve this in the current approach is, as Heck (2008:366f.) suggests, to assume that tied optima in Harmonic Serialism lead to a ‘split’ in the derivation (also see Mullin et al. 2010:7ff.). At an earlier point of the derivation, there would be the option of percolating the feature on the movement goal to the phrase containing it (e.g. Cowper 1987; Webelhuth 1992; Grimshaw 2000). Assuming that this option is tied with the non-percolation candidate, this will lead to a split into two derivational pathways. One will lead to pied-piping as the optimal output, and the other to sub-extraction.

$[_{CP} wh_1 [_{C'} C_{[wh]} \dots [_{VP} [_{NP} t_1 NP] \dots [_{NP} wh_2 NP]]]]]$	WH-CRIT $w = 3$	LBC $w = 2$	*MULTSPEC $w = 2$	\mathcal{H}
☞ a. $[_{CP} wh_1 [_{C'} C_{[wh]} \dots [_{VP} [_{NP} t_1 NP] \dots [_{NP} wh_2 NP]]]]]$	-1			-3
b. $[_{CP} wh_2 [_{C'} wh_1 [_{C'} C_{[wh]} \dots [_{VP} [_{NP} t_1 NP] \dots [_{NP} t_2 NP]]]]]$		-1	-1	-4

For this reason this movement step of LBE is not licensed and we correctly rule out multiple LBE. It should be clear that the reverse order of extraction would have led to the same result, since the second step of multiple fronting will inevitably be LBE. Selecting the optimal output in (52a) will ultimately lead to a crash at the interfaces, as discussed further in Section 4.5.

4.2 The emergence of Superiority

With cases of mixed multiple wh-fronting, we saw that things were different. Recall from (14), repeated below, that subject LBE and ordinary object wh-movement we find a Superiority effect, the only legitimate derivation is one in which the subject left-branch moves first.

(53) *Superiority with LBE from subject (Serbo-Croatian):*

- a. (?)Šta₂ kakve₁ [QP dve [NP —₁ devojke]] često čitaju —₂ ?
 what what.kind two girls often read
- b. *Kakve₁ šta₂ [QP dve [NP —₁ devojke]] često čitaju —₂ ?
 what.kind what two girls often read
 ‘What do what kind of two girls often read?’

This restriction can also be derived by the same grammar that rules out multiple LBE, since it is based on the same descriptive generalization that the second of multiple wh-fronting cannot involve LBE, as (53b) does. Consider first the Superiority-respecting derivation in which the subject left-branch moves first. In the first step, a violation of WH-CRIT is traded against a violation of LBC (54b), constituting harmonic improvement. The subsequent step involves movement of the wh-object wh_2 , which only violates *MULT-SPEC due to creation of a second specifier of C.

(54) *Superiority-respecting derivation (Step 1):*

$[_{CP} \dots [_{VP} [_{NP} wh_1 NP] [_{V'} v [_{VP} V wh_2]]]]$	WH-CRIT $w = 3$	*MULT-SPEC $w = 2$	LBC $w = 2$	\mathcal{H}
a. $[_{CP} \dots [_{VP} [_{NP} wh_1 NP] [_{V'} v [_{VP} V wh_2]]]]$	-2			-6
☞ b. $[_{CP} wh_1 \dots [_{VP} [_{NP} t_1 NP] [_{V'} v [_{VP} V wh_2]]]]$	-1		-1	-5

Superiority-respecting derivation (Step 2):

$[_{CP} wh_1 \dots [_{VP} [_{NP} t_1 NP] [_{v'} v [_{VP} V wh_2]]]]$	WH-CRIT $w = 3$	*MULT-SPEC $w = 2$	LBC $w = 2$	\mathcal{H}
a. $[_{CP} wh_1 \dots [_{VP} [_{NP} t_1 NP] [_{v'} v [_{VP} V wh_2]]]]$	-1			-3
☞ b. $[_{CP} wh_2 [_{C'} wh_1 \dots [_{VP} [_{NP} t_1 NP] [_{v'} v [_{VP} V t_2]]]]]]$		-1		-2

This derivation is licit because no single step of the derivation violates both LBC and *MULT-SPEC, as prohibited by (50). Things are different with the Superiority-violating derivation in (55), where the non-closest wh-phrase moves first. The first step of extraction of the wh-object wh_2 does not violate either *MULT-SPEC or LBC. However, the second step now violates both constraints and triggers a gang effect, as with multiple LBE.

(55) *Superiority-violating derivation (Step 1):*

$[_{CP} \dots [_{VP} [_{DP} wh_1 NP] [_{v'} v [_{VP} V wh_2]]]]$	WH-CRIT $w = 3$	*MULT-SPEC $w = 2$	LBC $w = 2$	\mathcal{H}
a. $[_{CP} \dots [_{VP} [_{DP} wh_1 NP] [_{v'} v [_{VP} V wh_2]]]]$	-2			-6
☞ b. $[_{CP} wh_2 \dots [_{VP} [_{NP} wh_1 NP] [_{v'} v [_{VP} V t_2]]]]$	-1			-3

Superiority-violating derivation (Step 2):

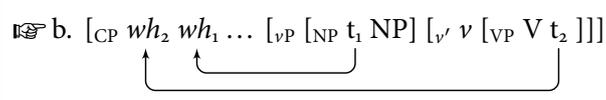
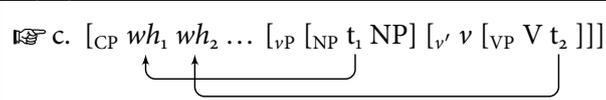
$[_{CP} wh_2 \dots [_{VP} [_{NP} wh_1 NP] [_{v'} v [_{VP} V t_2]]]]$	WH-CRIT $w = 3$	*MULT-SPEC $w = 2$	LBC $w = 2$	\mathcal{H}
☞ a. $[_{CP} wh_2 \dots [_{VP} [_{NP} wh_1 NP] [_{v'} v [_{VP} V t_2]]]]$	-1			-3
b. $[_{CP} wh_1 [_{C'} wh_2 \dots [_{VP} [_{NP} t_1 NP] [_{v'} v [_{VP} V t_2]]]]]]$		-1	-1	-4

This shows that how both the ban on multiple LBE and Superiority with subject LBE can be accounted for by the same analysis. In the preceding discussion, we did not include intermediate movement of the wh-object to vP . This is not an oversight, however, and will in fact play a crucial role in deriving the subject/object asymmetry to be discussed in Section 5.

4.3 Serialism vs. parallelism

In the preceding analysis, the crucial generalization is that a single step of multiple wh-movement cannot violate both *MULT-SPEC and LBC. We saw that this follows naturally in a serial approach to optimization, where evaluation applies at each derivational step. It is particularly interesting to note that the result is different if we translate the analysis into a parallel account, where all movement steps apply simultaneously. As shown in (56), Parallel HG predicts no difference with regard to the order of extraction with subject LBE.

(56) *Wrong prediction of Parallel HG:*

$[\text{CP} \dots [\text{vP} [\text{NP } wh_1 \text{ NP}] [\text{v}' \nu [\text{VP } V \text{ } wh_2]]]]$	WH-CRIT $w = 3$	LBC $w = 2$	*MULTSPEC $w = 2$	\mathcal{H}
a. $[\text{CP} \dots [\text{vP} [\text{NP } wh_1 \text{ NP}] [\text{v}' \nu [\text{VP } V \text{ } wh_2]]]]$	-2			-6
☞ b. $[\text{CP } wh_2 \text{ } wh_1 \dots [\text{vP} [\text{NP } t_1 \text{ NP}] [\text{v}' \nu [\text{VP } V \text{ } t_2]]]]$ 		-1	-1	-4
☞ c. $[\text{CP } wh_1 \text{ } wh_2 \dots [\text{vP} [\text{NP } t_1 \text{ NP}] [\text{v}' \nu [\text{VP } V \text{ } t_2]]]]$ 		-1	-1	-4

This is because a parallel optimization cannot identify the locus of each of violation in order to identify whether both violations are incurred by the same movement step. Since the generalization about LBE is inherently derivational, it can be straightforwardly expressed in a serial, but not a parallel approach, without enriching representations or constraint definitions significantly. The analysis developed here therefore shows the potential advantage of a derivational, as opposed to a parallel architecture of grammar.

4.4 Local Conjunction

So far, we have seen that weighted constraints allow for ‘ganging up’ of lower-ranked constraints against a higher constraint. An alternative approach to deriving cumulative effects while maintaining strict domination of constraints is to assume Local Conjunction of constraints (57) (Smolensky 1993, 2006; Baković 2000; Ito & Mester 2003; Legendre et al. 2006; Łubowicz 2008).

(57) *Local Conjunction* (Smolensky 2006:43):

*A & _{\mathcal{D}} *B is violated if and only if a violation *A and a (distinct) violation of *B both occur within a single domain of type \mathcal{D} .

Local Conjunction, as defined in (57), involves creating a new constraint that is violated if only if each of its conjunct constraints is violated. If we want two constraints *A and *B to gang-up against another constraint C, then we can rank the conjoined constraint *A & *B higher than C. Thus, Cand₂ in (58) will be suboptimal only if it violates both *A and *B simultaneously.

(58) *Cumulativity with Local Conjunction:*

	*A & _{\mathcal{D}} *B	C	*A	*B
☞ a. Cand ₁		*		
b. Cand ₂	*!		*	*

In terms of deriving the cumulative effects at hand, constraint conjunction would be equivalent to HG in many respects. However, there are important formal differences in the expressive power of HG and OT-LC (Smolensky 2006; Pater 2009, 2016; Müller to appear). In fact, HG turns out to be more restrictive than OT-LC because it requires that gang effects involve an *asymmetric trade-*

off (Pater 2009, 2016). In practice, this means that a constraint cannot participate in a cumulative blocking effect between two candidates if it is violated by both of these candidates. To see this, imagine that we take a more representational view of the LEFTBRANCHCONDITION from (45) that simply prohibits traces in the specifier of NP. In this case, the input of the derivation of the second step of multiple wh-fronting in (59) will already violate this new constraint LBC_2 due to the trace in the subject position, as seen in the faithful candidate in (59a). Even though this violation is not incurred by the movement step in (59b), it can still participate in cumulative interaction with the violation of *MULT-SPEC to violate the conjoined constraint.

(59)

$[_{CP} wh_1 \dots [_{VP} [_{NP} t_1 NP] [_{v'} v [_{VP} V wh_2]]]]$	*M-SPEC & LBC	WH-CRIT	LBC_2	*M-SPEC
☞ a. $[_{CP} wh_1 \dots [_{VP} [_{NP} t_1 NP] [_{v'} v [_{VP} V wh_2]]]]$		*	*	
b. $[_{CP} wh_2 [_{C'} wh_1 \dots [_{VP} [_{NP} t_1 NP] [_{v'} v [_{VP} V t_2]]]]]]$	*!		*	*

These violations are not locally *co-relevant* (Baković 2000; Pater 2016:17), i.e. the source of each violation is different. While OT-LC allows for such cumulative interactions, HG does not (60). The reason for this is that they do not involve an asymmetric trade-off. Since the violation of LBC_2 is shared by both candidates, it affects the harmony score of each candidate in the same way. Thus, we just have a simple symmetric trade-off between WH-CRIT and MULT-SPEC, with the latter violation in (60a) remaining the less costly one.

(60)

$[_{CP} wh_1 \dots [_{VP} [_{NP} t_1 NP] [_{v'} v [_{VP} V wh_2]]]]$	WH-CRIT $w = 3$	*MULT-SPEC $w = 2$	LBC_2 $w = 2$	\mathcal{H}
a. $[_{CP} wh_1 \dots [_{VP} [_{NP} t_1 NP] [_{v'} v [_{VP} V wh_2]]]]$	-1		-1	-5
☞ b. $[_{CP} wh_2 [_{C'} wh_1 \dots [_{VP} [_{NP} t_1 NP] [_{v'} v [_{VP} V t_2]]]]]]$		-1	-1	-4

In this regard, it turns out that there are patterns that OT-LC can generate and HG cannot (see Smolensky 2006; Pater 2016; Müller to appear for discussion). For this reason, HG is actually a rather restrictive theory. Additionally, adopting a derivational approach such as Serial HG means that violations already present in the input can never participate in gang effects.¹⁰

4.5 Intermediate movement steps and ineffability

So far, we have not talked about intermediate movement of the object to Spec- vP , as is standardly assumed in phase theory (Chomsky 2000, 2001). One issue about this kind of successive-cyclic

¹⁰ This addresses a worry raised by a reviewer that violations of many low-weighted constraints could add up to trigger unwanted gang effects. For example, we could imagine very general markedness constraints against syntactic structure (e.g. *CP, *TP, *vP), which could also participate in cumulative interactions. Adopting a serial approach avoids this since, as we saw above, only violations not shared by the input count for cumulative interaction. Since GEN is very restricted in a serialist approach, the number of possible additional violations incurred by the application of a given operation is actually rather limited.

movement is how to account for the Look Ahead problem associated with it (Bošković 2007:594). In other words, we have to know at a sufficiently early stage of the derivation that a particular probe will be merged at some later point in order to trigger movement to the phase edge. Heck & Müller (2003) address this problem in Harmonic Serialism by proposing the following constraint:

(61) PHASEBALANCE (Heck & Müller 2003:105):

For every feature [$*F*$] in the numeration, there must be an accessible feature [F] at the phase level (i.e. at the phase edge) or in the numeration.

The central idea is that intermediate movement is a repair to the constraint in (61). The input to a given derivation also contains the numeration of remaining elements to be merged and so it can be locally determined whether or not there is a potential checker at the phase edge. In the input to (62), there is no *wh*-feature at the edge of νP that could act as a checker for the [$*wh*$] probe feature on C. This means that (62a) violates PHASEBALANCE. As a repair, the *wh*-phrase is moved to the edge of νP (62b).

(62) *Intermediate movement of wh-object:*

$[\nu P DP [\nu' \nu [\nu P V wh_1]]]$ $\oplus \{T, C_{[*wh*]}\}$	PHASEBAL $w = 6$	STAY $w = 1$	\mathcal{H}
a. $[\nu P DP [\nu' \nu [\nu P V wh_1]]]$	-1		-6
☞ b. $[\nu P wh_1 [\nu' DP [\nu' \nu [\nu P V t_1]]]]$ 		-1	-1

In a multiple *wh*-question, the *wh*-subject is already at the νP phase edge and thereby constitutes a potential checker for $C_{[*wh*]}$. Consequently, intermediate movement is not licensed (63b).

(63)

$[\nu P wh_1 [\nu' \nu [\nu P V wh_2]]]$ $\oplus \{T, C_{[*wh*]}\}$	PHASEBAL $w = 6$	STAY $w = 1$	\mathcal{H}
☞ a. $[\nu P wh_1 [\nu' \nu [\nu P V wh_2]]]$			0
b. $[\nu P wh_2 [\nu' wh_1 [\nu' \nu [\nu P V t_2]]]]$ 		-1	-1

Heck & Müller (2003:109ff.) show that this derives the fact that both multiple *wh*-fronting and Superiority violations are not possible in languages such as English. Once wh_2 is inside the νP , it will not be available at later stages of the derivation due to the PIC. The question now is what parameter will allow us to derive multiple *wh*-fronting in this system, where a candidate such as (63b) will be selected as optimal. To this end, I adopt the already established view that multiple *wh*-fronting languages place a *wh*-probe on the *wh*-phrases themselves, rather than on C (64b) (see e.g. Bošković 1999, 2002, 2008a, 2007; Bailyn 2017).

(64) a. *Single wh-fronting language:*

$[_{CP} C_{[*wh*]} \dots [\nu P wh_{[wh]} [\nu' \nu [\nu P V wh_{[wh]}]]]]$

Haksayng-tul-i₁ [TP pwunmyenghi [vP [QP —₁ sey-myeng] maykcwu-lul masiessta]]
 student-PL-NOM evidently three-CL beer-ACC drank
 ‘Evidently, three students drank beer.’

However, if the second step of multiple scrambling involves quantifier stranding from a subject, then it is ruled out (68).

(68) [S O [TP ADV ... [vP [QP —_s Q_{SUB}] PP —_o V]]]
 ?*Haksayng-tul-i₁ maykcwu-lul₂ [TP pwunmyenghi [vP [QP —₁ sey-myeng] swulcip-eyse
 student-PL-NOM beer-ACC evidently three-CL bar-LOC
 —₂ masiessta]]
 drank
 ‘Evidently, three students drank beer.’ (Ko 2014:35)

This bears a striking resemblance to the cumulative effect we saw for Slavic, where LBE could not be the second step of multiple wh-fronting. We can therefore view the restriction on multiple scrambling in Korean as an instantiation of the same pattern, but with quantifier stranding instead of LBE. Namely, a single step of scrambling may create a multiple specifier of C or strand a quantifier, but not both simultaneously. This makes the prediction that subject quantifier stranding and multiple fronting should be able to co-occur, if stranding is not the second step. As (69) shows, this prediction is borne out, making this essentially the same kind of Superiority effect we saw with subject LBE in Slavic.

(69) [O S [TP ADV ... [vP [QP —_s Q_{SUB}] PP —_o V]]]
 Maykcwu-lul₂ haksayng-tul-i₁ [TP pwunmyenghi [vP [QP —₁ sey-myeng] swulcip-eyse
 beer-ACC student-PL-NOM evidently three-CL bar-LOC
 —₂ masiessta]]
 drank
 ‘Evidently, three students drank beer at a bar.’

At this point, it is important to mention that Ko (2007, 2014) offers a different analysis that also derives these data based on *Cyclic Linearization* (Fox & Pesetsky 2005). I will return to this in Section 5.4. First, let us consider how we can derive the Korean facts in an analogous way to the Slavic data. Since we are dealing with scrambling, rather wh-movement, the constraint driving movement is the Σ -CRITERION in (70). This follows from the assumption that scrambling is driven by a formal feature [$*\Sigma*$] on the C head (see e.g. Müller 1998; Grewendorf & Sabel 1999; Kawamura 2004; Sabel 2005; Ko 2014).

(70) Σ -CRITERION:
 XPs bearing [Σ] must be in the specifier of a licensing head bearing [$*\Sigma*$].

Furthermore, stranding can be assumed to violate the constraint *STRAND(Q) in (71), against movement that strands a Q head.¹¹

¹¹This should be viewed as part of a larger ‘constraint family’ generated by the more general constraint schema

(71) *STRAND(Q):

Assign a violation for a syntactic object γ in position α in [$\alpha \dots$ [QP ... Q] ...], where γ corresponds to β in the input [... [QP β Q] ...].

Finally, we also assume the same definition for the constraint *MULT-SPEC as in (47). In order to derive the grammatical example in (69), the subject NP must move to Spec-CP first, incurring only a tolerable violation of *STRAND(Q) (72b).

(72) Derivation of [O S ADV ... [QP t_s Q] t_o] (Step 1):

[_{CP} C _[*Σ*] ... [_{VP} [QP NP _{1[Σ]} Q] ... NP ₂]]	Σ-CRIT <i>w</i> = 3	*MULT-SPEC <i>w</i> = 2	*STRAND(Q) <i>w</i> = 2	\mathcal{H}
a. [_{CP} C _[*Σ*] ... [_{VP} [QP NP _{1[Σ]} Q] ... NP _{2[Σ]}]]	-2			-6
☞ b. [_{CP} NP _{1[Σ]} C _[*Σ*] ... [_{VP} [QP t_1 Q] ... NP _{2[Σ]}]]	-1		-1	-5

The following step involves a continuation of (72b). Here, movement of the object NP is possible since it only violates *MULT-SPEC (73b).

(73) Derivation of [O S ADV ... [QP t_s Q] t_o] (Step 2):

[_{CP} NP _{1[Σ]} C _[*Σ*] ... [_{VP} [QP t_1 Q] ... NP _{2[Σ]}]]	Σ-CRIT <i>w</i> = 3	*MULT-SPEC <i>w</i> = 2	*STRAND(Q) <i>w</i> = 2	\mathcal{H}
a. [_{CP} NP _{1[Σ]} C _[*Σ*] ... [_{VP} [QP t_1 Q] ... t_2]]	-1			-3
☞ b. [_{CP} NP _{2[Σ]} [C' NP _{1[Σ]} C ... [_{VP} [QP t_1 Q] ... t_2]]]		-1		-2

What rules out examples such as (68) is the same as with LBE, violating both *MULT-SPEC and *STRAND(Q) simultaneously triggers a gang effect. Considering here the crucial final step of multiple fronting in such an example, stranding a quantifier as the second step incurs simultaneous violations of the two constraints and therefore blocks this movement.

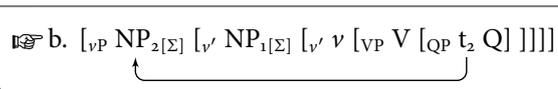
(74) Derivation of *[S O ADV ... [QP t_s Q] t_o]:

[_{CP} NP _{2[Σ]} C _[*Σ*] ... [_{VP} [QP NP _{1[Σ]} Q] ... t_2]]	Σ-CRIT <i>w</i> = 3	*MULT-SPEC <i>w</i> = 2	*STRAND(Q) <i>w</i> = 2	\mathcal{H}
☞ a. [_{CP} NP _{2[Σ]} C _[*Σ*] ... [_{VP} [QP NP _{1[Σ]} Q] ... t_2]]	-1			-3
b. [_{CP} NP _{1[Σ]} [C' NP _{2[Σ]} C ... [_{VP} [QP t_1 Q] ... t_2]]]		-1	-1	-4

*STRAND(X). For example, STRAND(P) would be the analogous constraint against preposition stranding. This leads to the conclusion that there should be constraints against stranding of other functional heads, as also suggested by Bošković (2016b:40).

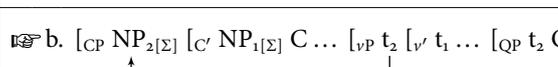
since they are driven by different constraints with different weights. In the derivation of (75), the object NP₂ moves to edge of *v*P to provide a potential checker for the second [*Σ*] on C in the numeration (78b). In doing so, it creates an additional specifier of *v*. However, this is not blocked since the constraint triggering intermediate movement (PHASEBALANCE) bears a higher weight than the constraint triggering final movement steps (Σ-CRIT or WH-CRIT).¹³

(78) Derivation of [O S ADV ... t_s [QP t_o Q]] (intermediate step):

$[\nu_P NP_{1[\Sigma]} [\nu' \nu [\nu_P V [QP NP_{2[\Sigma]} Q]]]]$ $\oplus \{T, C_{[*\Sigma*]}\}$	PHASEBAL $w = 5$	*MULT-SPEC $w = 2$	*STRAND(Q) $w = 2$	\mathcal{H}
a. $[\nu_P NP_{1[\Sigma]} [\nu' \nu [\nu_P V [QP NP_{2[\Sigma]} Q]]]]$	-1			-6
b. $[\nu_P NP_{2[\Sigma]} [\nu' NP_{1[\Sigma]} [\nu' \nu [\nu_P V [QP t_2 Q]]]]]$ 		-1	-1	-4

The consequence of this is that movement of NP₂ from Spec-*v*P no longer violates *STRAND(Q). This means it can now create a multiple specifier of C as the second step of multiple fronting since no gang effect will be triggered (79b).

(79) Derivation of [O S ADV ... t_s [QP t_o Q]] (final step):

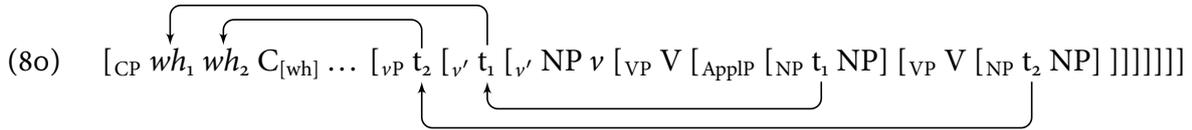
$[CP NP_{1[\Sigma]} C_{[*\Sigma*]} \dots [\nu_P NP_{2[\Sigma]} [\nu' t_1 \dots [QP t_2 Q]]]]$	Σ-CRIT $w = 3$	*MULT-SPEC $w = 2$	*STRAND(Q) $w = 2$	\mathcal{H}
a. $[CP NP_{1[\Sigma]} C_{[*\Sigma*]} \dots [\nu_P NP_{2[\Sigma]} [\nu' t_1 \dots [QP t_2 Q]]]]$	-1			-3
b. $[CP NP_{2[\Sigma]} [C' NP_{1[\Sigma]} C \dots [\nu_P t_2 [\nu' t_1 \dots [QP t_2 Q]]]]]$ 		-1		-2

The explanation for the lack of Superiority with object LBE is then entirely analogous. The intuition here is that the grammar ‘forgets’ that the object was sub-extracted since this violation was confined to an earlier step. This a property that I will refer to as *derivational amnesia* and provides a general account of the observed subject/object asymmetries. Finally, intermediate movement to Spec-*v*P with subjects is unmotivated with regard to PHASEBALANCE and thus, Q-stranding/LBE from a subject takes place directly from its base-position in Spec-*v*P and therefore violates *STRAND(Q), as we saw in (74).

5.2 Indirect objects

Given derivational amnesia as an account for subject/object asymmetries, we might expect multiple LBE to be possible with an indirect and direct object. As (80) shows, the LBC violations would be incurred at an intermediate step, allowing for multiple fronting to CP.

¹³The alternative approach would be to say that intermediate steps always precede merger of the subject and thus form the first specifier (cf. *Intermediate Step Corollary*; Müller 2011:176). This can be determined by the relative weight of constraints of PHASEBALANCE and the constraint triggering external (e.g. MERGECONDITION; Heck & Müller 2013:138), also see Section 5.3.



This not what we find, however. As the Polish example in (81) shows, LBE from multiple internal arguments is not possible.

- (81) *Czyjej_i jaki₂ Paweł kupił $[_{NP} t_1 \text{ żonie}]$ $[_{NP} t_2 \text{ samochód}]$?
 whose what Pavel bought wife car
 ‘What car did Pavel buy for whose wife?’ (Wiland 2010:339,fn.4)

I suggest that such examples already incur a gang effect at the vP edge if we adopt a gradient definition of the constraint *MULT-SPEC. Under a gradient interpretation, a violation of *MULT-SPEC will incurred for each multiple specifier of a given head. The first specifier leads to a single violation of *MULT-SPEC, the second specifier leads to two violations, and so on. This means that, as we have seen, the sum of single violations of both LBC and *MULT-SPEC is less costly than a single violation of PHASEBALANCE. Thus, intermediate movement of a left-branch is generally possible (82).

- (82) Step Σ :

$[_{VP} NP v [_{AppIP} [_{NP} wh_1 NP] [_{VP} V [_{NP} wh_2 NP]]]]]$	PB $w = 5$	LBC $w = 2$	*M-Spc $w = 2$	\mathcal{H}
a. $[_{VP} NP v [_{AppIP} [_{NP} wh_1 NP] [_{VP} V [_{NP} wh_2 NP]]]]]$	-2			-10
b. $[_{VP} wh_1 [_{v'} NP v [_{AppIP} [_{NP} t_1 NP] [_{VP} V [_{NP} wh_2 NP]]]]]]]$	-1	-1	-1	-9

If we have intermediate movement of a second left-branch, however, we violate LBC once and, now due to its gradient interpretation, *MULT-SPEC twice. This additional violation leads to a gang effect at the intermediate step, blocking movement of the second left-branch (83b).

- (83) Step Σ_{+1} :

$[_{VP} wh_1 [_{v'} NP v [_{AppIP} [_{NP} t_2 NP] [_{VP} V [_{NP} wh_2 NP]]]]]]]$	PB $w = 5$	LBC $w = 2$	*M-Spc $w = 2$	\mathcal{H}
a. $[_{VP} wh_1 [_{v'} NP v [_{AppIP} [_{NP} t_2 NP] [_{VP} V [_{NP} wh_2 NP]]]]]]]$	-1			-5
b. $[_{VP} wh_2 [_{v'} wh_1 [_{v'} NP v [_{AppIP} [_{NP} t_1 NP] [_{VP} V [_{NP} t_2 NP]]]]]]]]]$		-1	-2	-6

Thus, intermediate movement steps are subject to a *threshold effect*. The sum of single violations of LBC and *MULT-SPEC is less costly than a single violation of PHASEBALANCE, however additional violations of *MULT-SPEC exceed the threshold for cumulative violations.¹⁴ Thus, we

¹⁴This analysis predicts that, if one of the *wh*-phrases is not a left-branch, multiple extraction should become possible again, as no threshold effect will arise. As (i) shows, this prediction appears to be borne out.

- (i) (?)Co₂ czyjej_i Paweł kupił $[_{NP} t_1 \text{ żonie}]$ t_2 ?
 what whose Pavel bought wife

see that cumulative interactions can also occur at intermediate steps (also see section 6 on wh-islands).

5.3 A potential loophole

While the assumption that sub-extracted objects can avoid gang effects by incurring extraction-related violations at an intermediate step provides an account of subject/object asymmetries, it potentially undermines the previous account of the ban on multiple LBE. The reason for this is that it introduces a loophole whereby object LBE can avoid a cumulative effect at Spec-CP by violating LBC at an intermediate step. In (84), movement of wh_2 should be possible as the second step of multiple fronting, since it only violates *MULT-SPEC but not LBC.

$$(84) \quad [_{CP} wh_2 [_{C'} wh_1 C_{[wh]} \dots [_{VP} t_2 [_{V'} [_{NP} t_1 NP] [_{V'} v [_{VP} V [_{NP} t_2 NP]]]]]]]$$

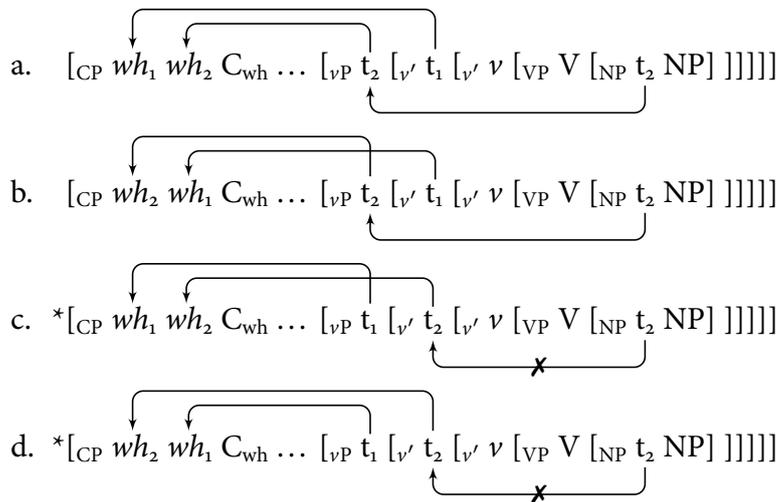
$\xrightarrow{\text{LBC } \text{X}^* \text{MULT-SPEC}}$ (top arrow)
 $\xrightarrow{\text{LBC } \text{X}^* \text{MULT-SPEC}}$ (bottom arrow)

The important thing is the unwanted derivation in (84) requires that the subject left-branch wh_1 moves as the first step of multiple fronting in order to avoid a gang effect by creating a multiple specifier. Thus, the key to ruling out (84) lies in restricting movement of the subject left-branch if the intermediate step involved LBE. The first part of the explanation involves the assumption that, ordinarily, specifiers of v can be created in either order. In other words, it is possible to either merge the subject or perform intermediate movement of the object as the first specifier of v . This can be viewed as the result of the constraint driving intermediate movement (PHASEBALANCE) and the constraint triggering external merge of the subject (MERGECONDITION) bearing the same weight and therefore both being equally available options. However, (85) shows that, if the intermediate step also involves LBE, this tie is broken by the additional violation of LBC (85c) and merger of the subject will be preferred (85b).

(85)	$[_{VP} v_{[\bullet N \bullet]} [_{VP} V [_{NP} wh_{2[*wh*]} NP]]]$ $\oplus \{wh_{1[*wh*]}, T, C_{[wh]}\}$	PHASEBAL $w = 6$	MERGECON $w = 6$	LBC $w = 2$	\mathcal{H}
a.	$[_{VP} v_{[\bullet N \bullet]} [_{VP} V [_{NP} wh_{2[*wh*]} NP]]]$	-1	-1		-12
b.	$[_{VP} wh_{1[*wh*]} [_{V'} v [_{VP} V [_{NP} wh_{2[*wh*]} NP]]]]]$	-1			-6
c.	$[_{VP} wh_{2[*wh*]} [_{V'} v_{[\bullet N \bullet]} [_{VP} V [_{NP} t_2 NP]]]]]$		-1	-1	-8

The consequence of this is that the subject must necessarily be merged first as the inner specifier if the intermediate step involves LBE. This now interacts with another restriction on movement. Generally, let us assume that multiple specifiers of v count as equidistant to a higher landing site, such that extraction of either the subject or object can take place as the first step (accounting for

‘What did Pavel buy for whose wife?’



As for subject LBE, extraction will only be possible from the outermost specifier of ν and will have to respect the condition that LBE cannot be the second step of multiple fronting (leading to the Superiority effect we saw previously). Thus, the assumption that both intermediate steps of LBE and sub-extraction from inner specifiers are restricted work together to rule out multiple LBE, while still accounting for the relevant subject/object asymmetry.

5.4 Against a Cyclic Linearization alternative

Let us now briefly compare this to Ko's (2007; 2014) linearization-based account. Fox & Pesetsky's (2005) theory of *Cyclic Linearization* is based on the idea that successive-cyclic movement is driven by the need to avoid contradictory linearization statements between Spell-Out domains. Ko (2007, 2014) argues that the impossibility of subject stranding in multiple fronting in (68) (repeated schematically below) can be accounted for in this way.

$$(89) \text{ ?*} [S O [_{TP} ADV \dots [_{\nu P} [_{QP} t_s Q_{SUB}] t_o V]]]]$$

For (89), there are two possible derivations. The first involves no movement of the object to Spec- νP . This generates the linearization statement that the subject quantifier precedes the object $Q_{SUB} < O$ (90a). At the CP-level, extraction of the object followed by the subject gives rise to the conflicting linearization instruction that the object precedes the subject quantifier ($O < Q_{SUB}$) (90b), leading to ungrammaticality.

(90) *Option 1 (no object scrambling):*

- a. $[_{\nu P} [_{QP} S Q_{SUB}] [_{VP} O V] \nu]$
Ordering at νP : $S < Q_{SUB} < O < V < \nu$
- b. $*[_{CP} S O [_{TP} ADV [_{\nu P} [_{QP} t_s Q_{SUB}] [_{VP} t_o V] \nu] T] C]$
Ordering at CP: $S < O < ADV < Q_{SUB} < V < \nu < T < C$

The problematic linearization statement $Q_{SUB} < O$ can be avoided by moving the object in front of the subject quantifier at the νP level (91a). However, this now creates a different problem. The object now precedes the subject inside the νP Spell-Out domain, meaning that this order cannot be reversed at Spec-CP (91b).

(91) *Option 2 (with object scrambling):*

- a. $[_{vP} O [_{QP} S Q_{SUB}] [_{VP} t_o V] \nu]$
Ordering at vP: $O < S < Q_{SUB} < V < \nu$
- b. $*[_{CP} S O [_{TP} ADV [_{vP} t_o [_{QP} t_s Q_{SUB}] [_{VP} t_o V] \nu] T] C]$
Ordering at CP: $S < O < ADV < Q_{SUB} < V < \nu < T < C$

The derivation in (91) should be possible if the subject and object are fronted in the reverse order. Recall that this was shown to be grammatical in (69). Thus, Ko's (2007; 2014) analysis successfully derives the ban on subject stranding as the second step of multiple fronting with reference to linearization statements. Indeed, we can derive the Superiority restriction with subject LBE in Slavic, summarized in (92), in a similar way.

- (92) a. $*[_{CP} wh_1 wh_2 \dots [_{vP} t_2 [_{v'} [_{NP} t_1 NP] [_{v'} \nu [_{VP} V t_2]]]]]]$
 b. $[_{CP} wh_2 wh_1 \dots [_{vP} t_2 [_{v'} [_{NP} t_1 NP] [_{v'} \nu [_{VP} V t_2]]]]]]$

The object wh_2 first has to move to the edge of vP to avoid a later contradiction relative to NP (parallel to Q_{SUB} above). this creates the linearization statement $wh_2 < wh_1$ (93a). Consequently, the order of the wh-phrases at Spec-CP must respect this ordering. This rules out (93b), but allows for the derivation in (93b').

(93) *Cyclic Linearization analysis of subject LBE:*

- a. $[_{vP} wh_2 [_{v'} [_{NP} wh_1 NP] [_{v'} \nu [_{VP} V t_2]]]]$
Ordering at vP: $wh_2 < wh_1 < NP < \nu < V$
- b. $*[_{CP} wh_1 wh_2 \dots [_{vP} t_2 [_{v'} [_{NP} t_1 NP] [_{v'} \nu [_{VP} V t_2]]]]]]$
Ordering at CP: $wh_1 < wh_2 < NP < \nu < V$
- b'. $[_{CP} wh_2 wh_1 \dots [_{vP} t_2 [_{v'} [_{NP} t_1 NP] [_{v'} \nu [_{VP} V t_2]]]]]]$
Ordering at CP: $wh_2 < wh_1 < NP < \nu < V$

While Ko's Cyclic Linearization approach can equally account for Superiority with subject LBE, recall that this was only one half of the data that the alternative cumulative analysis could account for. In addition, we saw that ruling out LBE as the second step of multiple fronting also provides an analysis of the ban on multiple LBE (94).

- (94) a. $*[_{CP} wh_1 wh_2 \dots [_{vP} t_2 [_{v'} [_{NP} t_1 NP] [_{v'} \nu [_{VP} V [_{NP} t_2 NP]]]]]]]$
 b. $*[_{CP} wh_2 wh_1 \dots [_{vP} t_2 [_{v'} [_{NP} t_1 NP] [_{v'} \nu [_{VP} V [_{NP} t_2 NP]]]]]]]$

This is not predicted by the Cyclic Linearization analysis. In (95), the only difference to the analysis in (93) is the presence of the additional linearization statement $V < NP$ at the vP level. The CP order in (95b') does not contradict any linearization statements from vP and is therefore predicted to be grammatical, contrary to fact.

(95) *Cyclic Linearization analysis of multiple LBE:*

- a. $[_{vP} wh_2 [_{v'} [_{NP} wh_1 NP] [_{v'} \nu [_{VP} V [_{NP} t_2 NP]]]]]$
Ordering at vP: $wh_2 < wh_1 < NP < \nu < V < NP$

- b. * $[_{CP} wh_1 wh_2 \dots [_{VP} t_2 [_{v'} [_{NP} t_1 NP] [_{v'} v [_{VP} V [_{NP} t_2 NP]]]]]]]$
Ordering at CP: $wh_1 < wh_2 < NP < v < V < NP$
- b.' $[_{CP} wh_2 wh_1 \dots [_{VP} t_2 [_{v'} [_{NP} t_1 NP] [_{v'} v [_{VP} V [_{NP} t_2 NP]]]]]]]$
Ordering at CP: $wh_2 < wh_1 < NP < v < V < NP$

Consequently, it seems that the two analyses are not simply notational variants, and that the present analysis can achieve better explanatory coverage of the more complex LBE facts than its Cyclic Linearization alternative.¹⁵

6 Multiple correlative displacement in Hindi

The final cumulative effect with multiple fronting that we will discuss involves correlative constructions in Hindi (also see [Srivastav 1991](#); [Dayal 1996](#); [Mahajan 2000](#); [Bhatt 2003, 2015](#)). Several languages have a correlativization strategy for nominal modification (see [Lipták 2009](#) for an overview). The basic structure of a correlative in Hindi involves a left-peripheral (free) relative clause, which is co-indexed with a demonstrative phrase in the matrix clause (96).

- (96) [jo CD sale-par hai]_i Maya [us CD-ko]_i khari:d-egi:
 REL CD sale-on be.PRES Maya DEM CD-ACC buy-FUT.F
 ‘Maya will buy the CD that is on sale.’
 (Lit. [Which CD is on sale], Maya will buy that CD) (Bhatt 2003:486)

Although this looks like a non-local dependency, [Bhatt \(2003\)](#) has demonstrated that the relation between the correlative clause and the demonstrative is actually one of movement. First, consider the fact the dependency between the correlative and the demonstrative can span a finite clause boundary (97) (note that [Bhatt 2003:500](#) also shows that binding is not sensitive to islands).

- (97) [_{CP} jo larki: TV-par ga: rah-i: hai]_i [_{CP} Sita soch-ti: hai]_i [_{CP} ki vo_i
 REL girl TV-on sing PROG be.PRES Sita think-HAB.F be.PRES that DEM
 sundar hai]]
 beautiful BE.PRES
 ‘Sita thinks that the girl who is singing on TV is beautiful.’ (Bhatt 2003:500)

However, the correlative CP cannot be separated from the demonstrative by an island boundary, for example, as shown with the Complex NP Island in (98).

- (98) * $[_{CP} jo vahā rah-ta: hai]_i$ muh-j-ko [_{NP} vo kaha:ni: [_{CP} jo Arundhati-ne
 REL there stay-HAB be.PRES 1SG-DAT that story.F REL Arundhati-ERG
 us-ke-baare-mē_i likh-ii]] pasand hai
 DEM-about write.PERF.F like be.PRES

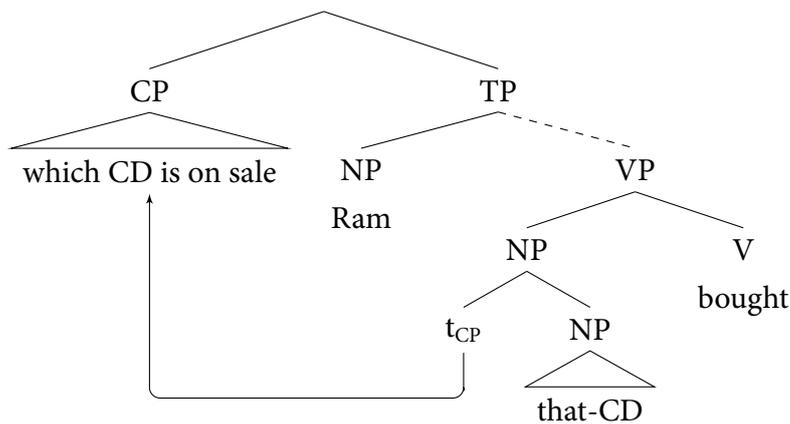
¹⁵A reviewer asks whether this is a fair conclusion to draw since the preceding section showed that we need to make an additional assumption to rule out one unwanted derivation. The reviewer wonders whether a similar additional assumption could be added to save the Cyclic Linearization theory. It is worth noting, however, that the additional constraint on extraction from recursive edges in (86) is a still within the general spirit of the cumulative analysis. There is no obvious way in which the ban on multiple LBE can be captured with reference to linearization statements alone. Thus, the multiple extraction facts would require an entirely different analysis (presumably with a different set of background assumptions) and therefore constitute a more complex account overall.

‘Who lives there_i, I like the story that Arundhati wrote about that boy.’

(Bhatt 2003:500)

The fact that the dependency between the CorCP and the Dem-XP is unbounded and constrained by islands leads Bhatt (2003) to the conclusion that the CP is base-generated as an adjunct to the demonstrative phrase and subsequently displaced to a higher position (99).

(99) *Structure of Hindi correlatives* (Bhatt 2003:497):



With this structure in mind, consider the fact that it is also possible to have multiple correlative clauses in a single clause, adjacent to their demonstrative associates (100) (Bhatt 2003:507).

(100) [CP ... [CorCP₁ Dem-XP₁] ... [CorCP₂ Dem-XP₂] ...]

Ram-ne [NP [CP jo larḱaa tumhaare pi:chhe hai]₁ [NP us larḱe-ko]₁] [NP [CP
 Ram-ERG REL boy your behind be.PRES DEM boy-DAT

jo kita:b Shantiniketan-ne chhaapii thii]₂ [NP vo kitaab]₂] dii
 REL book Shantiniketan-ERG print.PERF.F was.F DEM book give.PERF-F

‘Ram gave the book that Shantiniketan had published to the boy who is standing behind you.’ (Lit. ‘Ram gave [[which book Shantiniketan had published] that book] to [[which boy is behind you] that boy]’)

Furthermore, Bhatt (2003:507) shows that is possible to front one of these correlative clauses, either CorCP₁ associated with the indirect object (101), or CorCP₂ modifying the direct object (102).

(101) [CP CorCP₁ ... [t_{CorCP₁} Dem-XP₁] ... [CorCP₂ Dem-XP₂] ...]

[CP jo larḱaa tumhaare pi:chhe hai]₁ Ram-ne [NP t_{CP} [NP us larḱe-ko]]₁ [NP
 REL boy your behind be.PRES Ram-ERG DEM boy-DAT

[CP jo kita:b Shantiniketan-ne chhaapii thii]₂ [NP vo kitaab]₂] dii
 REL book Shantiniketan-ERG print.PERF.F was.F DEM book give.PERF-F

‘Ram gave the book that Shantiniketan had published to the boy who is standing behind you.’ (Lit. ‘[which boy is behind you] Ram gave [[which book Shantiniketan had published] that book] to [that boy]’)

(102) [CP CorCP₂ ... [CorCP₁ Dem-XP₁] ... [t_{CorCP₂} Dem-XP₂] ...]

[CP jo kita:b Shantiniketan-ne chhaapii thii]₂ Ram-ne [NP [CP jo larḱaa
 REL book Shantiniketan-ERG print.PERF.F was.F Ram-ERG REL boy

tumhaare pi:chhe hai]₁ [NP us laṛke-ko]₁] [NP t_{CP} [NP vo kitaab]₂] dii
 your behind be.PRES DEM boy-DAT DEM book give.PERF-F
 ‘Ram gave the book that Shantiniketan had published to the boy who is standing behind
 you.’ (Lit. ‘[which book Shantiniketan had published] Ram gave [that book] to [[which
 boy is behind you] that boy]’)

In addition, Hindi generally allows for long-distance scrambling of multiple constituents to a left-peripheral position. This is shown for DPs arguments and wh-phrases in (103).

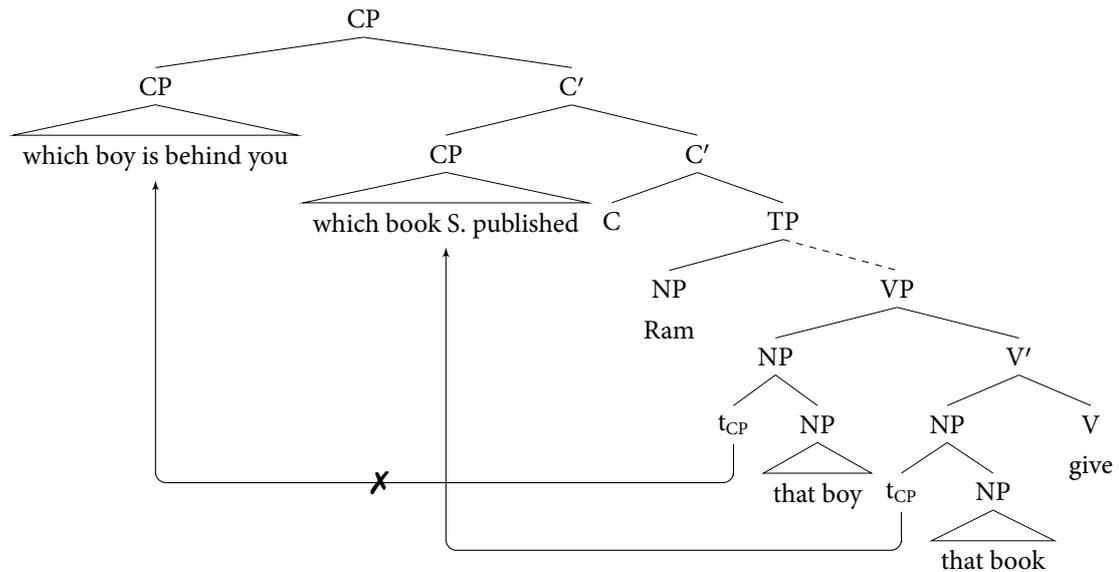
- (103) a. [CP Ram-ne₁ Sita-ko₂ Radha soch-ti: hai [CP ki t₁ t₂ kai tohfe
 Ram-ERG Sita-DAT Radha think-HAB.F be that many presents
 di-ye the]]
 give-PERF.PL be.PAST.MPL
 ‘Radha thinks that Ram gave Sita many presents.’
- b. [CP kis-ne₁ kis-ko₂ Radha soch-ti: hai [CP ki t₁ t₂ kai tohfe
 who-ERG who-DAT Radha think-HAB.F be that many presents
 di-ye the]]
 give-PERF.PL be.PAST.MPL
 ‘Radha thinks that who gave whom many presents?’ (Bhatt 2003:509)

Interestingly, it is not possible to have multiple fronting of correlative clauses, where both CorCP₁ and CorCP₂ are moved to clause-initial position (104) (Bhatt 2003:508). As (105) shows, the order of the fronted CPs does not make a difference.

- (104) *[CP CorCP₁ CorCP₂ ... [t_{CorCP₁} Dem-XP₁] ... [t_{CorCP₂} Dem-XP₂] ...]
 *[CP jo laṛkaa tumhaare pi:chhe hai]₁ [CP jo kita:b Shantiniketan-ne chhaapii
 REL boy your behind be REL book Shantiniketan-ERG print.PERF.F
 thii]₂ Ram-ne [NP t_{CP} [NP us laṛke-ko]₁] [NP t_{CP} [NP vo kitaab]₂] dii
 was.F Ram-ERG DEM boy-DAT DEM book give.PERF-F
 ‘Ram gave the book that Shantiniketan had published to the boy who is standing behind
 you.’ (Lit. ‘[which boy is behind you] [which book Shantiniketan had published] Ram
 gave [that book] to [that boy]’)
- (105) *[CP CorCP₂ CorCP₁ ... [t_{CorCP₁} Dem-XP₁] ... [t_{CorCP₂} Dem-XP₂] ...]
 *[CP jo kita:b Shantiniketan-ne chhaapii thii]₂ [CP jo laṛkaa tumhaare pi:chhe
 REL book Shantiniketan-ERG print.PERF.F was.F REL boy your behind
 hai]₁ Ram-ne [NP t_{CP} [NP us laṛke-ko]₁] [NP t_{CP} [NP vo kitaab]₂] dii
 be.PRES Ram-ERG DEM boy-DAT DEM book give.PERF-F
 ‘Ram gave the book that Shantiniketan had published to the boy who is standing behind
 you.’ (Lit. ‘[which book Shantiniketan had published] [which boy is behind you] Ram
 gave [that book] to [that boy]’)

We can conceive of the impossibility of multiple correlative displacement as a cumulative effect in the following way: In general, Hindi allows for a correlative CP to be fronted. Furthermore, it is also possible to have multiple fronting of XPs (103). However, the combination of these two processes, i.e. multiple fronting of correlative CPs, is not permitted (106).

(106) *No multiple fronting of Hindi correlatives:*



In the same vein as the preceding analyses of Serbo-Croatian and Korean, let us assume that multiple fronting violates the constraint *MULT-SPEC. The question is now what constraint militates against correlative fronting. As (106) shows, Bhatt (2003) argues that correlative fronting is actually movement of an adjunct. We can therefore assume that there is a violable constraint against movement of adjoined phrases, *MOVE(ADJUNCT) (107), which is violated by correlative fronting.

(107) *MOVE(ADJUNCT):

Movement of an adjunct is prohibited.

This is supported by evidence in Bhatt (2003:509f.) showing that, while verbal adjuncts can generally undergo long-distance movement (108a), they cannot undergo multiple fronting (108b) (unlike arguments, cf. (103)).

- (108) a. [_{CP} kab₁ Radha soch-ti: hai [_{CP} ki Ram-ne Sita-ko tohfe t₁
when Radha think-HAB.F be that Ram-ERG Sita-DAT presents
di-ye the]] ?
give-PERF.PL be.PAST.MPL
‘When does Radha think that Ram gave presents to Sita?’
- b. *[[_{CP} kab₂ kahā₁ Radha soch-ti: hai [_{CP} ki Ram-ne Sita-ko tohfe t₁ t₂
when where Radha think-HAB.F be that Ram-ERG Sita-DAT presents
di-ye the]] ?
give-PERF.PL be.PAST.MPL
‘When and where does Radha think that Ram gave presents to Sita?’

(Bhatt 2003:510)

The fact that adjuncts behave in the same way as correlatives in not being able to undergo multiple fronting supports the assumption that (107) is relevant constraint.¹⁶ We can therefore analyze this

¹⁶ Further evidence for this comes from the fact that multiple fronting of adjuncts is also reported to be ungrammatical in Slavic languages (i). This suggests that a similar restriction on multiple specifier creation by adjunct

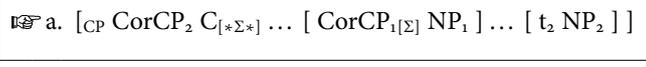
in an entirely analogous fashion to the Korean and Slavic data. The respective weights of the constraints against multiple fronting (*MULT-SPEC) and correlative fronting (*MOVE(ADJUNCT)) are individually lower than constraint driving scrambling (Σ -CRITERION), however their summed weights are higher. Considering the relevant step of the derivation in which the first correlative clause is fronted, this step is harmonically-improving and therefore licensed (109b).¹⁷

(109) Step Σ :

$[_{CP} C_{[*\Sigma^*]} \dots [_{CorCP_1[\Sigma]} NP_1] \dots [_{CorCP_2[\Sigma]} NP_2]]$	Σ -CRIT $w = 3$	*MOVE(ADJ) $w = 2$	*MULT-S $w = 2$	\mathcal{H}
a. $[_{CP} C_{[*\Sigma^*]} \dots [_{CorCP_1[\Sigma]} NP_1] \dots [_{CorCP_2[\Sigma]} NP_2]]$	-2			-6
 b. $[_{CP} \text{CorCP}_1 C_{[*\Sigma^*]} \dots [t_1 NP_1]] \dots [_{CorCP_2[\Sigma]} NP_2]]$	-1	-1		-5

At the subsequent step, we try to move the second correlative clause, however this step simultaneously involves adjunct movement and creation of multiple specifier, which thereby triggers a gang effect (110b). As a result, this movement step is blocked.

(110) Step Σ_{+1} :

$[_{CP} \text{CorCP}_2 C_{[*\Sigma^*]} \dots [_{CorCP_1[\Sigma]} NP_1] \dots [t_2 NP_2]]$	Σ -CRIT $w = 3$	*MOVE(ADJ) $w = 2$	*MULT-S $w = 2$	\mathcal{H}
 a. $[_{CP} \text{CorCP}_2 C_{[*\Sigma^*]} \dots [_{CorCP_1[\Sigma]} NP_1] \dots [t_2 NP_2]]$	-1			-3
b. $[_{CP} \text{CorCP}_1[\Sigma] [C' \text{CorCP}_2 C \dots [t_1 NP_1] \dots [t_2 NP_2]]]$		-1	-1	-4

This analysis is further supported by the novel observation that multiple fronting of correlative clauses is possible if their criterial positions are in different clauses. If one of the CorCPs moves is scrambled to a higher clause than the other, then multiple displacement is possible, as (111) and (112) show (Rajesh Bhatt, p.c.).

- (111) $[_{CP_2} \text{CorCP}_1 \text{ I think that } [_{CP_1} \text{CorCP}_2 \dots [t_{\text{CorCP}_1} \text{Dem-XP}_1] \dots [t_{\text{CorCP}_2} \text{Dem-XP}_2] \dots]]$
 $[_{CP} \text{jo lar}^{\text{kaa}} \text{tumhaare pi:chhe hai }]_1 \text{mujhe lagtaa hai ki } [_{CP} \text{jo}$
REL boy your behind be.PRES be.PRES me.DAT feel.HAB be.PRES REL

movement can also hold at final steps.

- (i) a. $*[_{CP} \text{Dlaczego}_1 \text{kiedy}_2 [_{TP} \text{wyjecha}^{\text{e}}\text{ś} \text{---}_1 \text{---}_2 \text{z kraju}]] ?$
why when leave.2SG out.of country
‘When and why did you leave the country?’ (Polish; Cichocki 1983:56)
- b. $*[_{CP} \text{Gdje}_1 \text{kada}_2 [_{TP} \text{Ivan nastupa } \text{---}_1 \text{---}_2]] ?$
where when Ivan performs
‘Where and when does Ivan perform?’ (Serbo-Croatian; Citko & Gračanin-Yuksek 2012:24)

¹⁷I am not considering the νP phase here. It may well be the case that the correlative ‘big NP’ first moves to the edge of νP before sub-extraction of CorCP takes place. However due to Hindi being a head-final language, it is notoriously difficult to diagnose the height of elements inside the νP . Furthermore, Keine (2016:140ff.) presents an analysis of scrambling in Hindi that he shows to be incompatible with the existence of νP phases in the language (also see Keine 2017). In light of this, I will remain agnostic about the issue.

kita:b Shantiniketan-ne chhaapii thii]₂ Ram-ne [NP t_{CP} [NP us larke-ko]₁]
 book Shantiniketan-ERG print.PERF.F was.F Ram-ERG DEM boy-DAT
 [NP t_{CP} [NP VO kitaab]₂] dii
 DEM book give.PERF-F

‘I think that Ram gave the book that Shantiniketan had published to the boy who is standing behind you.’ (Lit. ‘[which boy is behind you] I think that [which book Shantiniketan had published] Ram gave [that book] to [that boy]’)

- (112) [CP CorCP₂ I think that [CP CorCP₁ ... [t_{CorCP₁} Dem-XP₁] ... [t_{CorCP₂} Dem-XP₂] ...]]
 [CP jo kita:b Shantiniketan-ne chhaapii thii]₂ mujhe lagtaa hai ki
 REL book Shantiniketan-ERG print.PERF.F was.F be.PRES me.DAT feel.HAB be.PRES
 [CP jo larkaa tumhaare pi:chhe hai]₁ Ram-ne [NP t_{CP} [NP us larke-ko]₁] [NP
 REL boy your behind be.PRES Ram-ERG DEM boy-DAT
 t_{CP} [NP VO kitaab]₂] dii
 DEM book give.PERF-F

‘I think that Ram gave the book that Shantiniketan had published to the boy who is standing behind you.’ (Lit. ‘[which boy is behind you] I think that [which book Shantiniketan had published] Ram gave [that book] to [that boy]’)

This follows from the previously established assumption that gang effects involving multiple specifier creation can hold at final steps, but not intermediate steps. Recall that this was due to the fact that intermediate steps are driven by a different constraint with a possibly different weight, namely PHASEBALANCE. This means that a multiple specifier of C₁ is licensed by an intermediate step (but not a final step), as in (113).

- (113) [CP₂ CorCP₁ I think that [CP₁ t₁ [C₁ CorCP₂ ... [t_{CorCP₁} NP₁] ... [t_{CorCP₂} NP₂]]]]

As in previous cases, this is because PHASEBALANCE bears a higher weight than Σ-CRITERION and is therefore immune from the cumulative effect of summed violations. Consider the step of the derivation in which one correlative clause has moved to Spec-CP. The movement step in (114b) is licensed to provide a potential checker for the [*Σ*] feature on the C head in the numeration.

(114)	[CP CorCP ₂ C ... [CorCP _{1[Σ]} NP ₁] ... [t ₂ NP ₂]] ⊕ { ..., v, T, C _{[*Σ*]} }}	PHASEBAL w = 6	*MV(ADJ) w = 2	*MULT-S w = 2	ℋ
a.	[CP CorCP ₂ C ... [CorCP _{1[Σ]} NP ₁] ... [t ₂ NP ₂]]	-1			-6
b.	[CP CorCP _{1[Σ]} [C ₁ CorCP ₂ C ... [t ₁ NP ₁] ... [t ₂ NP ₂]]]		-1	-1	-4

Thus, the case of Hindi correlative fronting provides another example of restricted multiple specifier creation. Furthermore, the initially surprising fact that multiple fronting is possible when the CorCPs do not land in the same clause provides another example that cumulative effects at final and intermediate movement steps, as predicted by an analysis in which the two steps are driven by different constraints.

It is also conceivable that some languages have weighting conditions that would lead to a gang effect at an intermediate step. One plausible example of this involves the ‘selective’ nature of wh-islands (e.g. Rizzi 1990). The well-known argument/adjunct asymmetry in (115) could be explained under the assumption that multiple specifier creation by adjunct movement is ruled out at *intermediate* steps in English. The wh-phrase extracted from a wh-island passes through a second specifier of C. This is possible for a wh-argument (115a), but not for a wh-adjunct (115b).

- (115) a. What₁ do you wonder [_{CP} t₁ [_{C'} how₂ to repair t₁ t₂]] ?
 b. *How₂ do you wonder [_{CP} t₂ [_{C'} what₁ to repair t₁ t₂]] ? (Manzini 1997:135f.)

This would be analyzed as violations of *MOVE(ADJ) and *MULT-SPEC in (115b) ganging up against a single violation of PHASEBALANCE. By contrast, (115a) would only involve a single violation of *MULT-SPEC, and therefore no gang effect.

7 Conclusion

This paper has argued that certain restrictions found with multiple fronting are the result of cumulative constraint interaction. Three case studies were provided involving Left-Branch Extraction in Slavic, quantifier stranding in Korean and correlative displacement in Hindi. It was claimed that there is a general, violable constraint in the grammar against representations containing multiple specifiers of a single head (*MULT-SPEC). In each of the aforementioned case studies, violations of *MULT-SPEC are tolerable in isolation, leading to the possibility of multiple fronting in these languages, however not in conjunction with another violation incurred by a marked extraction process. The abstract pattern underlying these three cases can be abstractly summarized in (116).

- (116) * $[_{ZP} XP [_{Z'} YP [_{Z'} Z \dots [\dots [_{NP} \dots \text{---} XP \dots] \dots \text{---} YP \dots]]]]]]$

It was shown that a language can avoid the banned configuration in (116) by having the movement step involving sub-extraction apply as the first step, thereby spreading the respective violations out across different steps of multiple fronting. This gave rise to exceptional ordering restrictions with both LBE in Slavic and quantifier stranding in Korean of the kind not otherwise found in the languages. However, an important caveat is that these were only shown to hold for subject extraction. It was argued that this stems from a fundamental asymmetry between subject and object movement, namely that extraction from an object first involves intermediate movement to Spec-*v*P. It is this property that allows for the circumvention of gang effects by again distributing the violations across intermediate and final movement steps. Furthermore, the fact that cumulative blocking is triggered at final, but not intermediate steps lends support to the existing claim

by Heck & Müller (2003) that these are driven by distinct constraints, which can in turn bear different weights. It was also shown that cumulative constraint violations must occur local to the same derivational step, which provides a strong argument for a local, derivational approach such as Serial Harmonic Grammar. While this may seem like an enrichment to existing Minimalist theories, it is arguably inevitably required in any sufficiently explicit theory of local economy and cumulativity. Given the undeniable similarities between the three case studies, an approach such as Serial Harmonic Grammar provides a theory that can directly capture the core intuition that we are dealing with the illicit combination of ordinarily licit process in the languages in question.

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